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Products of Monoids and Its Applications on the Monoids of State Machines

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Abstract

Let M be a monoid and X a non-empty set. M will be called a transformation monoid on X if there is a mapping $\phi : M \times X \longrightarrow X$, for which we write $\phi(m, x) = m \cdot x$ and which satisfies the conditions:

- 1) $(m_1 m_2) \cdot x = m_1 \cdot (m_2 \cdot x)$, for each $x \in X$ and for each $m_1, m_2 \in M$.
- 2) $1_M \cdot x = x$, for each $x \in X$.

Let M and N be two monoids. Let N^M be the set of all functions defined on M with values in N . In this paper, we prove that the set N^M forms a monoid such that for any $\varphi, \psi \in N^M$, let $\varphi\psi \in N^M$ in N^M be defined for all $m \in M$ by: $(\varphi\psi)(m) = \varphi(m)\psi(m)$, the monoid M is a transformation monoid on N^M in the following way:

if $m \in M, \varphi \in N^M$, then $(m \cdot \varphi)(x) = \varphi^m(x) = \varphi(xm)$ for $x \in M$, and the set of all pairs (m, φ) where $m \in M, \varphi \in N^M$, with multiplications operation given by: $(m, \varphi)(m', \psi) = (mm', \varphi\psi^m)$ where $m, m' \in M$ and $\varphi, \psi \in N^M$ is a monoid. On the other hand, we present the direct product, the cascade product and wreath product of state machines, also we calculate the monoids of state machines.

Keywords: Free Monoid; Monoid of State Machine; Morphism of Monoids; State Machine; Transformation Monoid; Wreath Product of Monoids

1 Introduction

The theory of machines that has developed in last twenty years, has had a considerable influence, not only on the computer systems, but also biology, biochemistry, etc.

A semigroup is an ordered pair (S, \cdot) , where S is non-empty set and the dot " \cdot " is a binary operation on S , i.e., a mapping $(a, b) \longmapsto a \cdot b$ from $S \times S$ to S such that for all $a, b, c \in S$, $(a \cdot b) \cdot c = a \cdot (b \cdot c)$ (associative law).

A semigroup (S, \cdot) with the identity element is called a monoid [10].

A state machine is a 3-tuple (Q, Σ, δ) , where

- 1) Q is a finite nonempty set (the set of states);
- 2) Σ is a finite alphabet (the set of inputs);
- 3) δ is a function of $Q \times \Sigma$ into Q (the transition function) [6, 7].

The remainder of this paper is organized as follows. In Section 2, we begin with some elementary material concerning of monoids and state machines. In Section 3, we present direct product, semidirect product and wreath product of monoids. In Section 4, we introduce the direct product of state machines, the cascade product and wreath product, also we calculate the monoids of state machines. Finally, we draw our conclusions in Section 5.

2 Preliminaries

A monoid (M, \cdot) consists of a set M together with a binary operation " \cdot " on M such that

- 1) $a \cdot (b \cdot c) = (a \cdot b) \cdot c$ for all $a, b, c \in M$;
- 2) There exists an identity $1_M \in M$ such that

$$a \cdot 1_M = 1_M \cdot a = a \text{ for all } a \in M.$$

A monoid (M, \cdot) is called commutative if the operation " \cdot " is commutative. Hence a semigroup (S, \cdot) is just a set S together with an associative binary operation.

Let X be any set and let $X^X = \{f : X \rightarrow X\}$ be the set of all function from X to itself. Then (X^X, \circ) is a monoid, called the transformation monoid of X . In fact, the analogue of Cayley's theorem holds for monoids, and it can be shown that every monoid can be represented as a transformation monoid.

Suppose that \mathcal{R} is an equivalence relation on a monoid (M, \cdot) . Then \mathcal{R} is called a congruence relation on (M, \cdot) if $a\mathcal{R}b$ implies $ac\mathcal{R}bc$ and $ca\mathcal{R}cb$ for all $a, b, c \in M$. The congruence class containing the element $m \in M$ is the set $[m] = \{x \in M : x\mathcal{R}m\}$.

If \mathcal{R} is a congruence relation on the monoid (M, \cdot) , the quotient set $M/\mathcal{R} = \{[m] : m \in M\}$ is a monoid under the operation defined by $[m][n] = [mn]$. This monoid is called the quotient monoid of M by \mathcal{R} .

If (M, \cdot) and (N, Δ) are two monoids, with identities 1_M and 1_N , respectively, then the function $f : M \rightarrow N$ is a monoid morphism from (M, \cdot) to (N, Δ) if

- 1) $f(x \cdot y) = f(x) \Delta f(y)$ for all $x, y \in M$,
- 2) $f(1_M) = 1_N$.

A monoid isomorphism is simply a bijective monoid morphism.

Let M be a monoid and X a non-empty set. M will be called a transformation monoid on X if there is a mapping $\phi : M \times X \rightarrow X$, for which we write $\phi(m, x) = m \cdot x$ and which satisfies the conditions:

- 1) $(m_1 m_2) \cdot x = m_1 \cdot (m_2 \cdot x)$, for each $x \in X$ and for each $m_1, m_2 \in M$.
- 2) $1_M \cdot x = x$, for each $x \in X$.

For every transformation monoid M on X , there is a homomorphism $\psi : M \rightarrow E(X)$, the monoid of all mappings $f : X \rightarrow X$, given by $\psi(m) = f$, where $f(x) = m \cdot x$ for all $x \in X$.

We formally define an alphabet as a non-empty finite set. A word over an alphabet Σ is a finite sequence of symbols of Σ . Although one writes a sequence as $(\sigma_1, \sigma_2, \dots, \sigma_n)$, in the present context, we prefer to write it as $\sigma_1 \sigma_2 \dots \sigma_n$. The set of all words on the alphabet Σ is denoted by Σ^* and is equipped with the associative operation defined by the concatenation of two sequences [1, 5]:

$$\alpha_1 \alpha_2 \dots \alpha_n \beta_1 \beta_2 \dots \beta_m = \alpha_1 \alpha_2 \dots \alpha_n \beta_1 \beta_2 \dots \beta_m.$$

This operation is associative. This allows us to write $w = \sigma_1 \sigma_2 \cdots \sigma_n$. The string consisting of zero letters is called the empty word, written ϵ . Thus, $\epsilon, \alpha, \beta, \alpha\alpha\beta\alpha, \alpha\alpha\alpha\beta\alpha$ are words over the alphabet $\{\alpha, \beta\}$. Thus the set Σ^* of words is equipped with the structure of a monoid. the monoid Σ^* is called the free monoid on Σ . The length of a word w , in symbols $|w|$, is the number of letters in w when each letter is counted as many times as it occurs. Again by definition, $|\epsilon| = 0$. For example $|\alpha\beta\alpha| = 3$ and $|\alpha\beta\alpha\beta\alpha\alpha| = 6$. Let w be a word over an alphabet Σ . For $\sigma \in \Sigma$, the number of occurrences of σ in w shall be denoted by $|w|_\sigma$. For example $|\alpha\beta\alpha|_\beta = 1$ and $|\alpha\beta\alpha\beta\alpha\alpha|_\alpha = 4$ [3, 4].

Let (Σ^*, \cdot) be the free monoid generated by Σ and let $i : \Sigma \rightarrow \Sigma^*$ be the function that maps each element σ of Σ into the corresponding word of length 1, so that $i(\sigma) = \sigma$. Then if $f : \Sigma \rightarrow M$ is any function into the underlying set of any monoid (M, \cdot) , there is a unique monoid morphism $h : (\Sigma^*, \cdot) \rightarrow (M, \cdot)$ such that $h \circ i = f$.

A state machine is a triple $S = (Q, \Sigma, \delta)$ where Q is a finite set of states, Σ is a finite set of symbols called the input alphabet and $\delta : Q \times \Sigma \rightarrow Q$ is a partial function called the transition function. The transition function can be extended naturally to sequences of input symbols, by letting $\delta(q, w\sigma) = \delta(\delta(q, w), \sigma)$ and $\delta(q, \epsilon) = q$, for all $w \in \Sigma^*, \sigma \in \Sigma$ and $q \in Q$.

A state machine $S = (Q, \Sigma, \delta)$ is called complete if the partial function $\delta : Q \times \Sigma \rightarrow Q$ is in fact a function. In this situation we can specify what the resultant $\delta(q, \sigma)$ is for all possible combinations of $q \in Q$ and $\sigma \in \Sigma$.

Let $\sigma \in \Sigma$, define $\delta_\sigma : Q \rightarrow Q$ by $\delta_\sigma(q) = \delta(q, \sigma)$ for each $q \in Q$. Let $w \in \Sigma^+$ be a word of length at least 1 with symbols from Σ . Suppose that $w = \sigma_1 \sigma_2 \cdots \sigma_n$ then we define $\delta_w : Q \rightarrow Q$ by $\delta_w(q) = \delta_{\sigma_n} \delta_{\sigma_{n-1}} \cdots \delta_{\sigma_1}(q)$.

Let $S = (Q, \Sigma, \delta)$ be a state machine, define the monoid morphism $h : (\Sigma^*, \cdot) \rightarrow (Q^Q, \circ)$ by $h(w) = \delta_w$. Define the relation \mathcal{R} on Σ^* by $w\mathcal{R}w'$ if and only if $h(w) = h(w')$. This is easily verified to be an equivalence relation. Furthermore, it is a congruence relation. The quotient monoid $(\Sigma^*/\mathcal{R}, \cdot)$ is called the monoid of the state machine (Q, Σ, δ) .

3 Direct Product, Semidirect Product and Wreath Product of Monoids

In this section, we present direct product, semidirect product and wreath product of monoids.

Proposition 1. Let M and N be monoids, consider the set $M \times N$ the cartesian product of M and N , and define a multiplication " \cdot " on $M \times N$ as follows:

$$(m_1, n_1) \cdot (m_2, n_2) = (m_1 m_2, n_1 n_2).$$

This result is a monoid $(M \times N, \cdot)$ with is called the direct product of M and N .

Proof. It is easy to show that this product is associative and the identity element in $M \times N$ is $(1_M, 1_N)$. \square

Example 1. Let $M = (\mathbb{N}, +)$ and $N = (\mathbb{N}, \times)$, then in the direct product $M \times N$ we have $(m, n) \cdot (r, s) = (m + r, n \times s)$.

Proposition 2. Given three monoids M, N, L we can form the direct product $(M \times N) \times L$ similarly $M \times (N \times L)$ and the relationship between these two monoids is the isomorphism [6]:

$$(M \times N) \times L \cong M \times (N \times L).$$

Proof. The isomorphism is $h : (M \times N) \times L \longrightarrow M \times (N \times L)$ defined by

$$h((m, n), l) = (m, (n, l)),$$

where $m \in M, n \in N, l \in L$. □

Proposition 3. *Given any monoids M and N , suppose that $\theta : N \longrightarrow \text{End}(M)$ is a monoid morphism. Then $(M \times N, \cdot)$ is a monoid under the operation " \cdot " defined by $(m, n) \cdot (m', n') = (m\theta(n)(m'), nn')$ where $m, m' \in M, n, n' \in N$. The monoid $(M \times N, \cdot)$ is called the semidirect product of M and N with respect to θ and it is denoted by $M \rtimes_{\theta} N$. [1, 8, 9]*

Proof. From [6]:

- 1) We will prove that " \cdot " is associative on $M \times N$: let $m, m', m'' \in M, n, n', n'' \in N$, we have

$$\begin{aligned} & ((m, n) \cdot (m', n')) \cdot (m'', n'') \\ &= (m\theta(n)(m'), nn') \cdot (m'', n'') \\ &= (m\theta(n)(m')\theta(nn')(m''), (nn')n'') \\ &= (m\theta(n)(m')\theta(n)(\theta(n')(m'')), (nn')n'') \\ &= (m\theta(n)(m'\theta(n')(m'')), (nn')n''). \end{aligned}$$

Also we have

$$\begin{aligned} & (m, n) \cdot ((m', n') \cdot (m'', n'')) \\ &= (m, n) \cdot (m'\theta(n')(m''), n'n'') \\ &= (m\theta(n)(m'\theta(n')(m'')), n(n'n'')). \end{aligned}$$

Then " \cdot " is associative on $M \times N$.

- 2) For $(m, n) \in M \times N$, $(m, n) \cdot (1_M, 1_N) = (m\theta(n)(1_M), n1_N) = (m1_M, n) = (m, n)$.
Also $(1_M, 1_N) \cdot (m, n) = (1_M\theta(1_N)(m), 1_Nn) = (1_MId_M(m), n) = (m, n)$. Hence $(M \times N, \cdot)$ is a monoid. □

Proposition 4. *Let M and N be two monoids. Let N^M be the set of all functions defined on M with values in N .*

- 1) *The set N^M forms a monoid shch that for any $\varphi, \psi \in N^M$, let $\varphi\psi \in N^M$ in N^M be defined for all $m \in M$ by: $(\varphi\psi)(m) = \varphi(m)\psi(m)$.*
- 2) *The monoid M is a transformation monoid on N^M in the following was:*
- *If $m \in M, \varphi \in N^M$, then $(m \cdot \varphi)(x) = \varphi^m(x) = \varphi(xm)$ for $x \in M$.*
- 3) *The set of all pairs (m, φ) where $m \in M, \varphi \in N^M$, with multiplications operation given by: $(m, \varphi)(m', \psi) = (mm', \varphi\psi^m)$ where $m, m' \in M$ and $\varphi, \psi \in N^M$ is a monoid [1, 8, 9].*

Proof.

- 1) First we will prove that the set N^M forms a monoid shch that for any $\varphi, \psi \in N^M$, let $\varphi\psi$ in N^M be defined for all $m \in M$ by: $(\varphi\psi)(m) = \varphi(m)\psi(m)$.

- a. N^M is non-empty and is closed with respect to multiplication. If $\varphi, \psi \in N^M$, then $\varphi(m), \psi(m) \in N$, for all $m \in M$. Hence $\varphi(m)\psi(m) \in N$. This implies that $(\varphi\psi)(m) \in N$ and so $\varphi\psi \in N^M$.
 - b. Since multiplication in N is associative, so also is the multiplication in N^M .
 - c. The identity element in N^M is the map $e : M \rightarrow N$ given by $e(m) = 1_N$, for all $m \in M$, where 1_N is the identity element of N .
- 2) Second, we will prove that M is a transformation monoid on N^M in the following was: if $m \in M, \varphi \in N^M$, then $(m \cdot \varphi)(x) = \varphi^m(x) = \varphi(xm)$ for $x \in M$.

Take $\varphi, \psi \in N^M$ and $m, m' \in M$, then

$$\begin{aligned}
 ((mm') \cdot f)(x) &= f(xmm') \\
 (m \cdot (m' \cdot f))(x) &= (m \cdot f^{m'})(x) \\
 &= (f^{m'})^m(x) \\
 &= f^{m'}(xm) = f(xmm'). \\
 \varphi^{1_M}(x) &= \varphi(x1_M) \\
 &= \varphi(x). \\
 (\varphi\psi)^m(x) &= \varphi\psi(xm) \\
 &= \varphi(xm)\psi(xm) \\
 &= \varphi^m(x)\psi^m(x). \\
 (\varphi^m)^{m'}(x) &= \varphi^m(xm') \\
 &= \varphi(xm'm) \\
 &= \varphi^{m'm}(x).
 \end{aligned}$$

Then $(\varphi^m)^{m'} = \varphi^{m'm}$.

- 3) We will prove that $M \times N^M$ is a monoid with multiplication:

$$(m, \varphi)(m', \psi) = (mm', \varphi\psi^m)$$

where $m, m' \in M$ and $\varphi, \psi \in N^M$:

- a. Closure property follows from the definition of multiplication.
- b. Take $\varphi, \psi, \eta \in N^M$ and $m, m', m'' \in G$, then

$$\begin{aligned}
 ((m, \varphi)(m', \psi))(m'', \eta) &= (mm', \varphi\psi^m)(m'', \eta) \\
 &= ((mm')m'', \varphi\psi^m\eta^{mm'}) .
 \end{aligned}$$

Also we have

$$\begin{aligned}
 (m, \varphi)((m', \psi)(m'', \eta)) &= (m, \varphi)(m'm'', \psi\eta^{m'}) \\
 &= (m(m'm''), \varphi(\psi\eta^{m'})^m) \\
 &= (m(m'm''), \varphi\psi^m\eta^{mm'}) .
 \end{aligned}$$

- c. We know that for every $\varphi \in N^M$, $\varphi^{1_M} = \varphi$, now for every $m \in M$, the map $\varphi \longrightarrow \varphi^m$ is an automorphism of N^M . Also if e is the identity element in N^M , then $e^m = e$. We have $(m, \varphi)(1_M, e) = (m1_M, \varphi e^m) = (m, \varphi e) = (m, \varphi)$. Also $(1_M, e)(m, \varphi) = (1_M m, e\varphi^{1_M}) = (m, e\varphi) = (m, \varphi)$. Thus identity element exists.

Hence $M \times N^M$ is a monoid with respect to the multiplication defined above. \square

Remark 1. If the monoid M is commutative, then $M \times N^M$ is a monoid with multiplication $(m, \varphi)(m', \psi) = (mm', \varphi^{m'}\psi)$ where $m, m' \in M$ and $\varphi, \psi \in N^M$.

Proposition 5. Let M and N be two monoids, and let M^N denote the set of all functions from the monoid N to the monoid M , then the set $M^N \times N$ is a monoid under the multiplication $(\varphi, n_1)(\psi, n_2) = (\varphi\psi, n_1n_2)$ where $\varphi\psi \in M^N$ is defined by

$$\varphi\psi(x) = \varphi(x)\psi(xn_1)$$

for $x, n_1, n_2 \in N$ and $\varphi, \psi \in M^N$.

We call the monoid $M^N \times N$ the wreath product of M and N [1, 8, 9].

Proof. From [6]:

- 1) We will prove that the multiplication is associative on $M^N \times N$. Let $\varphi, \psi, \eta \in M^N$ and $n_1, n_2, n_3 \in N$ then

$$\begin{aligned} ((\varphi, n_1)(\psi, n_2))(\eta, n_3) &= (\varphi\psi, n_1n_2)(\eta, n_3) \\ &= ((\varphi\psi)\eta, n_1n_2n_3). \end{aligned}$$

And

$$\begin{aligned} (\varphi, n_1)((\psi, n_2)(\eta, n_3)) &= (\varphi, n_1)(n_2n_3, \psi\eta) \\ &= (\varphi(\psi\eta), n_1n_2n_3). \end{aligned}$$

The, we will prove that

$$(\varphi\psi)\eta = \varphi(\psi\eta).$$

Let $x \in N$, then

$$\begin{aligned} ((\varphi\psi)\eta)(x) &= (\varphi\psi)(x)\eta(xn_1n_2) \\ &= \varphi(x)\psi(xn_1)\eta(xn_1n_2). \end{aligned}$$

And

$$\begin{aligned} (\varphi(\psi\eta))(x) &= \varphi(x)\psi\eta(xn_1) \\ &= \varphi(x)\psi(xn_1)\eta(xn_1n_2). \end{aligned}$$

- 2) Let the map $e : N \longrightarrow M$ given by $e(n) = 1_M$ for all $n \in N$. We have

$$\begin{aligned} (\varphi, n)(e, 1_N) &= (\varphi e, n1_N) \\ &= (\varphi e, n), \end{aligned}$$

where

$$\begin{aligned}(\varphi e)(x) &= \varphi(x) e(xn) \\ &= \varphi(x) 1_M = \varphi(x)\end{aligned}$$

for $n, x \in N$ and $\varphi \in M^N$, then

$$(\varphi, n)(e, 1_N) = (\varphi, n).$$

Also

$$\begin{aligned}(e, 1_N)(\varphi, n) &= (e\varphi, 1_N n) \\ &= (e\varphi, n),\end{aligned}$$

where

$$\begin{aligned}(e\varphi)(x) &= e(x) \varphi(x 1_N) \\ &= 1_M \varphi(x) \\ &= \varphi(x).\end{aligned}$$

Then $(e, 1_N)(\varphi, n) = (\varphi, n)$. The identity element in $M^N \times N$ is $(e, 1_N)$.

□

4 Applications of Products of Monoids on The Monoids of State Machines

In this section, we present the direct product of state machines, the cascade product and wreath product.

Definition 1. Let $S_1 = (Q_1, \Sigma_1, \delta_1)$ and $S_2 = (Q_2, \Sigma_2, \delta_2)$ be state machines. Suppose that S_1 and S_2 are state machines with the same unput Σ . Connecting them up in this way, will produce a new state machine $S_1 \times S_2 = (Q_1 \times Q_2, \Sigma, \delta_1 \times \delta_2)$ where $(\delta_1 \times \delta_2)((q_1, q_2), \sigma) = (\delta_1(q_1, \sigma), \delta_2(q_2, \sigma))$ for $\sigma \in \Sigma, (q_1, q_2) \in Q_1 \times Q_2$.

We call this state machine the restricted direct product of S_1 and S_2 [5, 6].

Example 2. Let $S_1 = (Q_1, \Sigma_1, \delta_1)$ be state machine where $Q_1 = \{0, 1\}$, $\Sigma_1 = \{\sigma\}$ and $\delta_1 : Q_1 \times \Sigma_1 \rightarrow$

Q_1 given by:

| δ_1 | σ |
|------------|----------|
| 0 | 1 |
| 1 | 0 |

and $S_2 = (Q_2, \Sigma_2, \delta_2)$ given by

$$\begin{aligned}Q_2 &= \{0, 1\}, \\ \Sigma_2 &= \{\sigma\}\end{aligned}$$

$$\delta_2 : Q_2 \times \Sigma_2 \rightarrow Q_2$$

| δ_2 | σ |
|------------|----------|
| 0 | 1 |
| 1 | 1 |

Then $S_1 \times S_2 = (Q_1 \times Q_2, \Sigma, \delta_1 \times \delta_2)$ where $Q_1 \times Q_2 = \{(0, 0), (0, 1), (1, 0), (1, 1)\}$, $\delta_1 \times \delta_2 :$

$(Q_1 \times Q_2) \times \Sigma \rightarrow (Q_1 \times Q_2)$ given by:

| $\delta_1 \times \delta_2$ | σ |
|----------------------------|----------|
| (0, 0) | (1, 1) |
| (0, 1) | (1, 1) |
| (1, 0) | (0, 1) |
| (1, 1) | (0, 1) |

Define $\delta_\sigma : Q_1 \longrightarrow Q_1$ by $\delta_\sigma(q) = \delta(q, \sigma)$ for each $q \in Q_1$. We have $\delta_\sigma = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ and $\delta_\sigma \circ \delta_\sigma = Id_{Q_1}$. Let $w \in \{\sigma\}^+$ be a word of length at least 1 with symbols from $\{\sigma\}$. Suppose that $w = \sigma^n, n \in \mathbb{N}^*$ then we define $(\delta_1)_w : Q_1 \longrightarrow Q_1$ by $(\delta_1)_w(q) = \delta_\sigma \delta_\sigma \cdots \delta_\sigma(q)$. We have

$$\delta_w = \begin{cases} Id_{Q_1} & \text{if } n = 2k, k \in \mathbb{N} \\ \delta_\sigma & \text{if } n = 2k + 1, k \in \mathbb{N} \end{cases}$$

$$h_1 : (\{\sigma\}^*, \cdot) \longrightarrow (Q_1^{Q_1}, \circ)$$

by $h_1(w) = \delta_w$. Define the relation \mathcal{R}_1 on $\{\sigma\}^*$ by $w\mathcal{R}_1w'$ if and only if $h_1(w) = h_1(w')$. This is easily verified to be an equivalence relation. Furthermore, it is a congruence relation. The quotient $\{\sigma\}^*/\mathcal{R}_1 = \{[\epsilon], [\sigma]\}$.

The monoid of the state machine $(Q_1, \{\sigma\}, \delta_1)$ is given by:

| | | |
|--------------|--------------|--------------|
| \cdot | $[\epsilon]$ | $[\sigma]$ |
| $[\epsilon]$ | $[\epsilon]$ | $[\sigma]$ |
| $[\sigma]$ | $[\sigma]$ | $[\epsilon]$ |

Define $\delta_2 : Q_2 \longrightarrow Q_2$ by $\delta_2(q) = \delta(q, \sigma)$ for each $q \in Q_2$. We have $\delta_\sigma = \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}$, Let $w \in \{\sigma\}^+$ be a word of length at least 1 with symbols from $\{\sigma\}$. Suppose that $w = \sigma^n, n \in \mathbb{N}^*$ then we define $(\delta_2)_w : Q_2 \longrightarrow Q_2$ by $(\delta_2)_w(q) = \delta_\sigma \delta_\sigma \cdots \delta_\sigma(q)$. We have

$$\delta_w = \begin{cases} Id_{Q_1} & \text{if } n = 0 \\ \delta_\sigma & \text{if } n \in \mathbb{N}^* \end{cases}$$

$$h_2 : (\{\sigma\}^*, \cdot) \longrightarrow (Q_2^{Q_2}, \circ)$$

by $h_2(w) = \delta_w$. Define the relation \mathcal{R}_2 on $\{\sigma\}^*$ by $w\mathcal{R}_2w'$ if and only if $h_2(w) = h_2(w')$. This is easily verified to be an equivalence relation. Furthermore, it is a congruence relation. The quotient $\{\sigma\}^*/\mathcal{R}_2 = \{[\epsilon], [\sigma]\}$.

The monoid of the state machine $(Q_2, \{\sigma\}, \delta_2)$ is given by:

| | | |
|--------------|--------------|------------|
| \cdot | $[\epsilon]$ | $[\sigma]$ |
| $[\epsilon]$ | $[\epsilon]$ | $[\sigma]$ |
| $[\sigma]$ | $[\sigma]$ | $[\sigma]$ |

Define $(\delta_1 \times \delta_2)_\sigma : Q_1 \times Q_2 \longrightarrow Q_1 \times Q_2$ by $(\delta_1 \times \delta_2)_\sigma((q_1, q_2)) = (\delta_1(q_1, \sigma), \delta_2(q_2, \sigma))$ for each $(q_1, q_2) \in Q_1 \times Q_2$. We have

$$\begin{aligned} (\delta_1 \times \delta_2)_\sigma &= \varphi = \begin{pmatrix} (0, 0) & (0, 1) & (1, 0) & (1, 1) \\ (1, 1) & (1, 1) & (0, 1) & (0, 1) \end{pmatrix}, \\ \varphi^2 &= \begin{pmatrix} (0, 0) & (0, 1) & (1, 0) & (1, 1) \\ (0, 1) & (0, 1) & (1, 1) & (1, 1) \end{pmatrix}, \\ \varphi^3 &= \varphi. \end{aligned}$$

Let $w \in \{\sigma\}^+$ be a word of length at least 1 with symbols from $\{\sigma\}$. Suppose that $w = \sigma^n, n \in \mathbb{N}^*$ then we define

$$(\delta_1 \times \delta_2)_w : Q_1 \times Q_2 \longrightarrow Q_1 \times Q_2$$

by

$$(\delta_1 \times \delta_2)_w((q_1, q_2)) = \delta_\sigma \delta_\sigma \cdots \delta_\sigma((q_1, q_2)).$$

We have

$$(\delta_1 \times \delta_2)_w = \begin{cases} Id_{Q_1} & \text{if } n = 0 \\ \varphi & \text{if } n = 2k + 1, k \in \mathbb{N} \\ \varphi^2 & \text{if } n = 2k, k \in \mathbb{N}^* \end{cases}$$

$$\psi : (\{\sigma\}^*, \cdot) \longrightarrow ((Q_1 \times Q_2)^{Q_1 \times Q_2}, \circ)$$

by $\psi(w) = (\delta_1 \times \delta_2)_w$.

Define the relation \mathcal{R} on $\{\sigma\}^*$ by $w\mathcal{R}w'$ if and only if $\psi(w) = \psi(w')$. This is easily verified to be an equivalence relation. Furthermore, it is a congruence relation. The quotient $\{\sigma\}^*/\mathcal{R} = \{[\epsilon], [\sigma], [\sigma^2]\}$. The monoid of the state machine $(Q_1 \times Q_2, \{\sigma\}, \delta_1 \times \delta_2)$ is given by:

| | | | |
|--------------|--------------|--------------|--------------|
| \cdot | $[\epsilon]$ | $[\sigma]$ | $[\sigma^2]$ |
| $[\epsilon]$ | $[\epsilon]$ | $[\sigma]$ | $[\sigma^2]$ |
| $[\sigma]$ | $[\sigma]$ | $[\sigma^2]$ | $[\sigma]$ |
| $[\sigma^2]$ | $[\sigma^2]$ | $[\sigma]$ | $[\sigma^2]$ |

Definition 2. Let $S_1 = (Q_1, \Sigma_1, \delta_1)$ and $S_2 = (Q_2, \Sigma_2, \delta_2)$ be state machines. We define

$$S_1 \times S_2 = (Q_1 \times Q_2, \Sigma_1 \times \Sigma_2, \delta_1 \times \delta_2)$$

where

$$(\delta_1 \times \delta_2)((q_1, q_2), (\sigma_1, \sigma_2)) = (\delta_1(q_1, \sigma_1), \delta_2(q_2, \sigma_2))$$

for

$$(\sigma_1, \sigma_2) \in \Sigma_1 \times \Sigma_2, (q_1, q_2) \in Q_1 \times Q_2.$$

We call this state machine the full direct product of S_1 and S_2 [5, 6].

Example 3. Let $S_1 = (Q_1, \Sigma_1, \delta_1)$ be state machine where $Q_1 = \{0, 1\}$, $\Sigma_1 = \{\sigma\}$ and $\delta_1 : Q_1 \times \Sigma_1 \longrightarrow$

Q_1 given by:

| | |
|------------|----------|
| δ_1 | σ |
| 0 | 1 |
| 1 | 0 |

 and $S_2 = (Q_2, \Sigma_2, \delta_2)$ given by

$$\begin{aligned} Q_2 &= \{0, 1\}, \\ \Sigma_2 &= \{\sigma, \tau\} \end{aligned}$$

$$\delta_2 : Q_2 \times \Sigma_2 \longrightarrow Q_2 \quad \begin{array}{|c|c|c|} \hline \delta_2 & \sigma & \tau \\ \hline 0 & 1 & 0 \\ 1 & 1 & 0 \\ \hline \end{array}$$

Then $S_1 \times S_2 = (Q_1 \times Q_2, \Sigma_1 \times \Sigma_2, \delta_1 \times \delta_2)$ where $Q_1 \times Q_2 = \{(0, 0), (0, 1), (1, 0), (1, 1)\}$, $\delta_1 \times \delta_2 : (Q_1 \times Q_2) \times \Sigma_1 \times \Sigma_2 \longrightarrow (Q_1 \times Q_2)$ given by:

| | | |
|----------------------------|--------------------|------------------|
| $\delta_1 \times \delta_2$ | (σ, σ) | (σ, τ) |
| (0, 0) | (1, 1) | (1, 0) |
| (0, 1) | (1, 1) | (1, 0) |
| (1, 0) | (0, 1) | (0, 0) |
| (1, 1) | (0, 1) | (0, 0) |

Define $\delta_\sigma : Q_1 \longrightarrow Q_1$ by $\delta_\sigma(q) = \delta(q, \sigma)$ for each $q \in Q_1$. We have

$$\delta_\sigma = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

and $\delta_\sigma \circ \delta_\sigma = Id_{Q_1}$, Let $w \in \{\sigma\}^+$ be a word of length at least 1 with symbols from $\{\sigma\}$. Suppose that $w = \sigma^n, n \in \mathbb{N}^*$ then we define $(\delta_1)_w : Q_1 \longrightarrow Q_1$ by $(\delta_1)_w(q) = \delta_\sigma \delta_\sigma \cdots \delta_\sigma(q)$. We have

$$\delta_w = \begin{cases} Id_{Q_1} & \text{if } n = 2k, k \in \mathbb{N} \\ \delta_\sigma & \text{if } n = 2k + 1, k \in \mathbb{N} \end{cases}$$

$$h_1 : (\{\sigma\}^*, \cdot) \longrightarrow (Q_1^{Q_1}, \circ)$$

by $h_1(w) = \delta_w$. Define the relation \mathcal{R}_1 on $\{\sigma\}^*$ by $w\mathcal{R}_1w'$ if and only if $h_1(w) = h_1(w')$. This is easily verified to be an equivalence relation. Furthermore, it is a congruence relation. The quotient $\{\sigma\}^*/\mathcal{R}_1 = \{[\epsilon], [\sigma]\}$.

The monoid of the state machine $(Q_1, \{\sigma\}, \delta_1)$ is given by:

| | | |
|--------------|--------------|--------------|
| \cdot | $[\epsilon]$ | $[\sigma]$ |
| $[\epsilon]$ | $[\epsilon]$ | $[\sigma]$ |
| $[\sigma]$ | $[\sigma]$ | $[\epsilon]$ |

Define $\delta_\sigma : Q_2 \longrightarrow Q_2$ by $\delta_\sigma(q) = \delta_2(q, \sigma)$ for each $q \in Q_2$, $\delta_\tau : Q_2 \longrightarrow Q_2$ by $\delta_\tau(q) = \delta_2(q, \tau)$ for each $q \in Q_2$. We have

$$\begin{aligned} \delta_\sigma &= \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}, \\ \delta_\tau &= \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \\ \delta_\sigma \circ \delta_\tau &= \delta_\sigma \circ \delta_\sigma \\ &= \delta_\sigma, \delta_\tau \circ \delta_\sigma \\ &= \delta_\tau \circ \delta_\tau \\ &= \delta_\tau, \end{aligned}$$

Let $w \in \{\sigma, \tau\}^+$ be a word of length at least 1 with symbols from $\{\sigma, \tau\}$. We have

$$\delta_w = \begin{cases} Id_{Q_1} & \text{if } w = \epsilon \\ \delta_\sigma & \text{if } w \in \{\sigma, \tau\}^* \sigma \\ \delta_\tau & \text{if } w \in \{\sigma, \tau\}^* \tau \end{cases}$$

$$h_2 : (\{\sigma, \tau\}^*, \cdot) \longrightarrow (Q_2^{Q_2}, \circ)$$

by $h_2(w) = \delta_w$. Define the relation \mathcal{R}_2 on $\{\sigma, \tau\}^*$ by $w\mathcal{R}_2w'$ if and only if $h_2(w) = h_2(w')$. This is easily verified to be an equivalence relation. Furthermore, it is a congruence relation. The quotient $\{\sigma, \tau\}^*/\mathcal{R}_2 = \{[\epsilon], [\sigma], [\tau]\}$.

The monoid of the state machine $(Q_2, \{\sigma, \tau\}, \delta_2)$ is given by:

| | | | |
|--------------|--------------|------------|----------|
| \cdot | $[\epsilon]$ | $[\sigma]$ | $[\tau]$ |
| $[\epsilon]$ | $[\epsilon]$ | $[\sigma]$ | $[\tau]$ |
| $[\sigma]$ | $[\sigma]$ | $[\sigma]$ | $[\tau]$ |
| $[\tau]$ | $[\tau]$ | $[\sigma]$ | $[\tau]$ |

Define $(\delta_1 \times \delta_2)_{(\sigma, \sigma)} : Q_1 \times Q_2 \longrightarrow Q_1 \times Q_2$ by $(\delta_1 \times \delta_2)_{(\sigma, \sigma)}((q_1, q_2)) = (\delta_1(q_1, \sigma), \delta_2(q_2, \sigma))$ for each $(q_1, q_2) \in Q_1 \times Q_2$. We have

$$\begin{aligned} (\delta_1 \times \delta_2)_{(\sigma, \sigma)} = \eta &= \begin{pmatrix} (0, 0) & (0, 1) & (1, 0) & (1, 1) \\ (1, 1) & (1, 1) & (0, 1) & (0, 1) \end{pmatrix}, \\ \eta^2 &= \begin{pmatrix} (0, 0) & (0, 1) & (1, 0) & (1, 1) \\ (0, 1) & (0, 1) & (1, 1) & (1, 1) \end{pmatrix}, \\ \eta^3 &= \eta. \end{aligned}$$

And $(\delta_1 \times \delta_2)_{(\sigma, \tau)} : Q_1 \times Q_2 \longrightarrow Q_1 \times Q_2$ by $(\delta_1 \times \delta_2)_{(\sigma, \tau)}((q_1, q_2)) = (\delta_1(q_1, \sigma), \delta_2(q_2, \tau))$ for each $(q_1, q_2) \in Q_1 \times Q_2$. We have

$$\begin{aligned} (\delta_1 \times \delta_2)_{(\sigma, \tau)} = \mu &= \begin{pmatrix} (0, 0) & (0, 1) & (1, 0) & (1, 1) \\ (1, 0) & (1, 0) & (0, 0) & (0, 0) \end{pmatrix}, \\ \mu^2 &= \begin{pmatrix} (0, 0) & (0, 1) & (1, 0) & (1, 1) \\ (0, 0) & (0, 0) & (1, 0) & (1, 0) \end{pmatrix}, \\ \mu^3 &= \mu. \end{aligned}$$

The monoid of the state machine $S_1 \times S_2 = (Q_1 \times Q_2, \Sigma_1 \times \Sigma_2, \delta_1 \times \delta_2)$ is given by:

| | | | | | |
|------------------------|------------------------|------------------------|------------------------|----------------------|----------------------|
| . | $[\epsilon]$ | $[(\sigma, \sigma)]$ | $[(\sigma, \sigma)^2]$ | $[(\sigma, \tau)]$ | $[(\sigma, \tau)^2]$ |
| $[\epsilon]$ | $[\epsilon]$ | $[(\sigma, \sigma)]$ | $[(\sigma, \sigma)^2]$ | $[(\sigma, \tau)]$ | $[(\sigma, \tau)^2]$ |
| $[(\sigma, \sigma)]$ | $[(\sigma, \sigma)]$ | $[(\sigma, \sigma)^2]$ | $[(\sigma, \sigma)]$ | $[(\sigma, \tau)^2]$ | $[(\sigma, \tau)]$ |
| $[(\sigma, \sigma)^2]$ | $[(\sigma, \sigma)^2]$ | $[(\sigma, \sigma)]$ | $[(\sigma, \sigma)^2]$ | $[(\sigma, \tau)]$ | $[(\sigma, \tau)^2]$ |
| $[(\sigma, \tau)]$ | $[(\sigma, \tau)]$ | $[(\sigma, \sigma)^2]$ | $[(\sigma, \sigma)]$ | $[(\sigma, \tau)^2]$ | $[(\sigma, \tau)]$ |
| $[(\sigma, \tau)^2]$ | $[(\sigma, \tau)^2]$ | $[(\sigma, \sigma)]$ | $[(\sigma, \sigma)^2]$ | $[(\sigma, \tau)]$ | $[(\sigma, \tau)^2]$ |

Definition 3. Let $S_1 = (Q_1, \Sigma_1, \delta_1)$ and $S_2 = (Q_2, \Sigma_2, \delta_2)$ be state machines. We define the cascade product of S_1 and S_2 with respect to $\omega : Q_2 \times \Sigma_2 \longrightarrow \Sigma_1$ by

$$S_1 \omega S_2 = (Q_1 \times Q_2, \Sigma_2, \delta^\omega)$$

where

$$\delta^\omega((q_1, q_2), \sigma_2) = (\delta_1(q_1, \omega(q_2, \sigma_2)), \delta_2(q_2, \sigma_2))$$

for $\sigma_2 \in \Sigma_2, (q_1, q_2) \in Q_1 \times Q_2$ [5, 6].

Example 4. Let $S_1 = (Q_1, \Sigma_1, \delta_1)$ be state machine where $Q_1 = \{0, 1\}, \Sigma_1 = \{\sigma, \tau\}$ and $\delta_1 :$

$Q_1 \times \Sigma_1 \longrightarrow Q_1$ given by:

| | | |
|------------|----------|--------|
| δ_1 | σ | τ |
| 0 | 1 | 0 |
| 1 | 1 | 0 |

and $S_2 = (Q_2, \Sigma_2, \delta_2)$ given by $Q_2 = \{0, 1\}, \Sigma_2 = \{\sigma\}$ and

$\delta_2 : Q_2 \times \Sigma_2 \longrightarrow Q_2$

| | |
|------------|----------|
| δ_2 | σ |
| 0 | 1 |
| 1 | 0 |

. Define a mapping $\omega : Q_2 \times \Sigma_2 \longrightarrow \Sigma_1$ by $\omega(0, \sigma) = \sigma, \omega(1, \sigma) = \tau$.

The cascade product $S_1 \omega S_2 = (Q_1 \times Q_2, \Sigma_2, \delta^\omega)$ where given by

| δ^ω | σ |
|-----------------|----------|
| (0, 0) | (1, 1) |
| (0, 1) | (0, 0) |
| (1, 0) | (1, 1) |
| (1, 1) | (0, 0) |

Define $(\delta^\omega)_\sigma : Q_1 \times Q_2 \longrightarrow Q_1 \times Q_2$ by $(\delta^\omega)_\sigma((q_1, q_2)) = (\delta_1(q_1, \omega(q_2, \sigma)), \delta_2(q_2, \sigma))$ for each $(q_1, q_2) \in Q_1 \times Q_2$. We have

$$(\delta^\omega)_\sigma = \phi = \begin{pmatrix} (0, 0) & (0, 1) & (1, 0) & (1, 1) \\ (1, 1) & (0, 0) & (1, 1) & (0, 0) \end{pmatrix},$$

$$(\delta^\omega)_{\sigma\sigma} = \phi^2 = \begin{pmatrix} (0, 0) & (0, 1) & (1, 0) & (1, 1) \\ (0, 0) & (1, 1) & (0, 0) & (1, 1) \end{pmatrix}.$$

The monoid of the state machine $S_1 \omega S_2$ is given by

| | | | |
|--------------|--------------|--------------|--------------|
| \cdot | $[\epsilon]$ | $[\sigma]$ | $[\sigma^2]$ |
| $[\epsilon]$ | $[\epsilon]$ | $[\sigma]$ | $[\sigma^2]$ |
| $[\sigma]$ | $[\sigma]$ | $[\sigma^2]$ | $[\sigma]$ |
| $[\sigma^2]$ | $[\sigma^2]$ | $[\sigma]$ | $[\sigma^2]$ |

Definition 4. Let $S_1 = (Q_1, \Sigma_1, \delta_1)$ and $S_2 = (Q_2, \Sigma_2, \delta_2)$ be state machines. We define the wreath product of S_1 and S_2 by $S_1 W S_2 = (Q_1 \times Q_2, \Sigma_1^{Q_2} \times \Sigma_2, \delta^W)$ where $\delta^W((q_1, q_2), (f, \sigma_2)) = (\delta_1(q_1, f(q_2)), \delta_2(q_2, \sigma_2))$ for $\sigma_2 \in \Sigma_2, f \in \Sigma_1^{Q_2}, (q_1, q_2) \in Q_1 \times Q_2$ [5, 6, 7].

Example 5. Let $S_1 = (Q_1, \Sigma_1, \delta_1)$ be state machine where $Q_1 = \{0, 1\}, \Sigma_1 = \{\sigma, \tau\}$ and $\delta_1 :$

$Q_1 \times \Sigma_1 \longrightarrow Q_1$ given by:

| | | |
|------------|----------|--------|
| δ_1 | σ | τ |
| 0 | 1 | 0 |
| 1 | 1 | 0 |

and $S_2 = (Q_2, \Sigma_2, \delta_2)$ given by $Q_2 = \{0, 1\}, \Sigma_2 = \{\sigma\}$ and

$\delta_2 : Q_2 \times \Sigma_2 \longrightarrow Q_2$

| | |
|------------|----------|
| δ_2 | σ |
| 0 | 1 |
| 1 | 0 |

. Denote the four elements of $\Sigma_1^{Q_2}$ by f_1, f_2, f_3, f_4 where

$$\begin{aligned} f_1(0) &= f_1(1) = \sigma. \\ f_2(0) &= \sigma, f_2(1) = \tau. \\ f_3(0) &= \tau, f_3(1) = \sigma. \\ f_4(0) &= f_4(1) = \tau. \end{aligned}$$

Then the state machine $S_1 W S_2$ has the table

| δ^W | (0, 0) | (0, 1) | (1, 0) | (1, 1) |
|-----------------|--------|--------|--------|--------|
| (f_1, σ) | (1, 1) | (1, 0) | (1, 1) | (1, 0) |
| (f_2, σ) | (1, 1) | (0, 0) | (1, 1) | (0, 0) |
| (f_3, σ) | (0, 1) | (1, 0) | (0, 1) | (1, 0) |
| (f_4, σ) | (0, 1) | (0, 0) | (0, 1) | (0, 0) |

Define $(\delta^W)_{(f_1, \sigma)} : Q_1 \times Q_2 \longrightarrow Q_1 \times Q_2$ by $(\delta^W)_{(f_1, \sigma)}((q_1, q_2)) = (\delta_1(q_1, f_1(q_2)), \delta_2(q_2, \sigma))$ for each $(q_1, q_2) \in Q_1 \times Q_2$. We have

$$\begin{aligned} (\delta^W)_{(f_1, \sigma)} &= \alpha = \begin{pmatrix} (0, 0) & (0, 1) & (1, 0) & (1, 1) \\ (1, 1) & (1, 0) & (1, 1) & (1, 0) \end{pmatrix}, \\ (\delta^W)_{(f_2, \sigma)} &= \beta = \begin{pmatrix} (0, 0) & (0, 1) & (1, 0) & (1, 1) \\ (1, 1) & (0, 0) & (1, 1) & (0, 0) \end{pmatrix}, \\ (\delta^W)_{(f_3, \sigma)} &= \gamma = \begin{pmatrix} (0, 0) & (0, 1) & (1, 0) & (1, 1) \\ (0, 1) & (1, 0) & (0, 1) & (1, 0) \end{pmatrix}, \\ (\delta^W)_{(f_4, \sigma)} &= \lambda = \begin{pmatrix} (0, 0) & (0, 1) & (1, 0) & (1, 1) \\ (0, 1) & (0, 0) & (0, 1) & (0, 0) \end{pmatrix}. \end{aligned}$$

5 Conclusion

In this paper, we give a specific transformation monoid, after that, we give the monoids of state machines associate with the direct product, the cascade product and wreath product of state machines.

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Applying MVC to Shopping Management Application Using Ruby on Rails with Bangla Interface

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Abstract

This work presents a combination of the Model View-Controller pattern with ruby on rails framework for web service development. Ruby on rails supports Agile Programming Methodologies prioritize to minimize the risk by developing software in shorter time boxes called iterations. They emphasize real-time communication, and give preference for working Software which satisfies all stake holders. They give less prominence to documentation, as compared to other methods.

Keywords: Active Record; Agile Development Methodologies; Bengali Interface; Rails Framework; Rural Development

1 Introduction

Web services are considered as self-contained, self describing, modular applications that can be published, located and invoked across the world. Nowadays, an increasing amount of companies and organizations only implement their core business and outsource other application services by desktop and web based application. Thus, the ability to efficiently and effectively select and integrate inter-organizational and heterogeneous services on the web at runtime is an important step towards the development of the applications. In particular, if no single application service can satisfy the functionality required by the user, there should be a possibility to combine existing services together in order to fulfill the request. This paper offers an answer, to combine the MVC (Model View-Controller) pattern with typical application development environment [2, 7, 10, 11, 13, 15].

2 Previous Work

Research indicates that many traditional IS development methodologies are based on outmoded concepts. These methodologies are being utilized to develop web sites and, not surprisingly, they are limited since they were never intended to be used for this localization purpose.

Before moving on to put forward a methodology for web development, it is worth considering traditional IS methodologies and their applicability to this process. The next part of this paper summarizes some of these methodologies, providing a brief explanation of their strengths and weaknesses in relation to the Web development process.

Waterfall: The waterfall methodology involves a series of cascading steps that cover the development process with a small level of iteration between each stage. The major problem with using the waterfall methodology for the development of Web-sites (and also IS) is the rigidity of its structure and lack of iteration between any stage other than adjacent stages. As has been described, the Web is fast moving environment and new technologies are becoming available almost daily. Any methodology used for the development of Web-sites must be flexible enough to cope with change.

Structures Systems Analysis and Design Method (SSADM): This methodology was designed for the development of traditional, fairly large IS projects. It does not cover the whole lifecycle of a development project, but emphasizes the analysis and design stages, in the hope of reducing costly errors and omissions that might arise later. Some elements of SSADM could be 'tailored' into a Web development methodology; this could hopefully reduce the likelihood of expensive code changes given the emphasis on analysis and design.

Prototyping: Prototyping helps people assess a version of a working system, rather than assess a description of an imagined future system. It is most useful for the development of "interactive applications" where the user is more concerned with the screen layout than the actual processes of the system. It may appear at first glance that prototyping would be ideal for the development of a Web site. However, there are potential problems if prototyping was to be used for the development of Web-sites. Firstly, prototyping has a tendency towards project "creep", where the users add components on to the prototype that are outside the initial system requirements. This leads to the problem of never knowing when the project is finished, as the Web-site would be in a constant state of prototype. Secondly, the target audience of a Web-site is much more diverse than that of a traditional IS. Therefore the interface must be designed for a broad group of users, which gives rise to a problem when defining a set of users to evaluate the prototype.

Rapid Action Development (RAD): RAD is a form of prototyping that involves building several small "throwaway" prototypes for the system and then discarding them once they have been analyzed; this means the prototype is never developed into the finished system unlike conventional prototyping methods. Powell discusses how IS development methodologies are failing the Web development process and cites the RAD methodology as being the worse culprit: "RAD involves building the wrong site multiple times until the right site falls out of the process."

Incremental Prototyping: Incremental prototyping allows large systems to be developed in phases, which avoids delays between specification and delivery. The most important features of the system are developed to completion first, and then less important features are added to the system later. This speeds up the implementation time of the project. The incremental approach to development would be useful in the dynamic world of the Web. Web sites grow both in size and functionality, so a methodology that utilized this incremental approach could not only speed up the development process and help build in new features as the enabling technologies emerge, but also help manage the problem of Web-site sprawl.

3 Methodology

Rails is a framework using Ruby language. It is designed to make programming Web applications easier, a feature every developer needs to get started. Ruby on Rails is a framework that gives support to develop, deploy, and maintain Web 2.0 applications. Rails applications give use the Model-View-Controller (MVC) architecture. Rails applications are written in Ruby which is an object-oriented scripting language. Rails is based on three philosophies: code is short and readable, DRY and convention over configuration. Using DRY (Don't Repeat Yourself) philosophy: Every piece of knowledge in a system should be expressed in just one place.

Convention Over Configuration - means that Rails makes assumptions about what we want to do and how we are going to do it, rather than requiring us to specify every little thing through endless configuration files. Rails supports Active Record in the object relational mapping (ORM) layer. Applying Active Record, it is possible to manage table relationships and in the process create, read, update, and delete operations (commonly referred to in the industry as CRUD methods) are covered [9]. Action Pack acts as core of Rails applications and it contains three Ruby modules: Action Dispatch, Action Controller, and Action View.

Action Dispatch routes requests to controllers and Action Controller converts requests into responses. The Action Controller makes use of Action View for the purpose of formatting the responses. Rails decodes information in the request URL and uses a scheme called Action Dispatch to determine what should be done with that request. At the end Rails determines the name of the controller that handles the particular request, along with a list of any other request parameters. In this process either of these parameters or the HTTP method itself is used to identify the action that has to be invoked in the target controller. Rails supports features such as Ajax and Restful interfaces that help the programmers in code integration. Figure 1 shows the MVC architecture.

Agile approach in Rails: Agile Manifesto as a set of three preferences as given below:

- 1) Individuals and interactions over processes and tools: Rails is all about individuals and interactions. Rails contains simple tool sets, no complex configurations, and no elaborate processes. There are small groups of developers, their favorite editors, and chunks of Ruby code which leads to transparency and what the developers do is reflected immediately in what the customer sees. It is basically an interactive process.
- 2) Working software over comprehensive documentation: Rails does not support documentation and specifications. Rails delivers working software early in the development cycle.
- 3) Customer collaboration over contract negotiation: Rails project can quickly respond to changes required by the customers, who are thereby convinced that the team can deliver what is required, not just what has been requested.

Designing software to connect an object-oriented business system with a relational database is a tedious task. Object orientation and the relational paradigm differ quite a bit. An application that maps between the two paradigms needs to be designed with respect to performance, maintainability and cost to name just a few requirements. There are numerous patterns of object/relational access layers, but looking at the body of pattern literature we will find that some patterns are still to be mined, while there is no generative "one stop" pattern language for the problem domain. Object-relational mapping (ORM) is a programming technique for converting data between incompatible type systems in object-oriented programming languages.

ORM libraries map database tables to classes. In Rails if a class is defined as Order then the database has a table called orders. Rows in orders table are mapped to objects of the class-a specific order is represented as an object of class Order. The individual columns are set making use of the

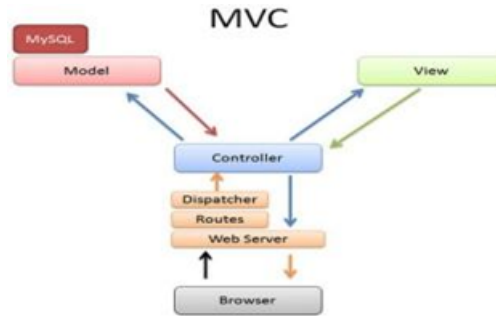


Figure 1: The MVC architecture

object attributes. ORM layer represents tables as classes, rows as objects, and columns as attributes of those objects. Class methods are used to perform table level operations, and methods can perform operations on the individual rows of a table. Active Record is the simplest of database design. Active Record has been released with the Rails framework to the public and is also available as a part of the core bundle with its own Ruby Gem. Active Record differs from other ORM Libraries because it makes a number of configuration assumptions, without requiring any outside configuration files or mapping details. With Rails, the model section is generally Active Record classes and other data-descriptive or data communication code. The view section is mainly for the user interface which involves elaborate HTML code in Rails applications. Figure 2 shows the architecture of active record.



Figure 2: Architecture of active record

We implement the existing system into ORM using Active Record. The knowledge of how to interact with the database is directly embedded into the class performing action, by making use of Active

Record. It is the ORM layer supplied with Rails. We will build on the mapping data rows and columns using Active Record to manage table relationships and in the implementation of CRUD Methods. Instances of Active Record classes correspond to rows in database table. The Instances have attributes corresponding to the columns in the table. Active Record determines the columns of the corresponding class dynamically. The Active Record object attributes in general correspond to the data in the row of the corresponding table. Figure 3 shows one of the sample screens of the project. Active Record is database-neutral, so it does not care which database software we use, and it supports nearly every database. Since it is a high-level abstraction, the code we write remains the same no matter which database we are using. For the present project we use PostgreSQL. Figure 4 shows the sample screen for a new product entry.

4 Results

The core architecture of the web service is a modified MVC pattern that is connected to the Requirement Analysis - through the user feedback, which should be an independent database system.



Figure 3: Sample homepage for Admin

Connection between web services' core and Requirement Analysis occurs in the following way:

- 1) Admin identifies a new product;
- 2) Admin access the view of relevant module, for instance, by pressing a specific button available in the user interface;
- 3) View queries Controller state;
- 4) Controller notifies View of change to state;
- 5) View displays the state to Admin;

- 6) Admin reports through View;
- 7) View transmits Admin's report to Controller;
- 8) Controller accesses feedback database system to report;
- 9) Refinements and update requirements are defined using feedback, technological opportunities and evolving threats considerations;
- 10) A decision to start a new action may be taken.



Figure 4: Sample screen for a new product entry

It is worth noticing that a new action may imply in a change in the system's architecture or a new block release.

5 Conclusions

Methodologies, whether used for traditional systems development or web development, have their uses and also their limitations. On the positive side they provide a useful crux for the novice developer [14], they act "as a comfort factor to reassure participants that 'proper' practices are being followed" [5] and the project management facility provides an audit trail, that helps ensure management viability of the development progress [12]. On the more negative side, they are often far too prescriptive and can actually constrain the developer while attempting to successfully complete a project in what is often a highly stressful and complex environment [4].

Not surprisingly, research shows that these methodologies are rarely applied as intended [3, 6] as developers creatively tailor them in order to meet the needs of the particular organizational context. Thus, it would be naive to assume that the methodology introduced here could provide some kind of universal panacea. Indeed, it is merely intended to act as a useful framework to aid the web development process. As each web site will have different goals and objectives and a unique set of problems, thus

any methodology will require adaptation to the contingencies of each situation. It is hoped that the one proposed here will serve as a useful tool to complement the skills and creativity of the developer and ease the process of web development. Empirical research is currently taking place to explore its strengths and weaknesses in a real-world situation.

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Cloud Security Using Markov Chain and Genetic Algorithm

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Abstract

The fundamental change happening in the field of Information Technology this change represent with cloud computing, cloud providing virtual resources via internet but great challenges in the field of data security and privacy protection. Currently main problem with cloud computing is how to improve security "cloud is critical security" In this paper attempt to solve cloud security by using intelligent system with genetic algorithm and add user attack probability with Markov algorithm all as wall to provide cloud data secure, all service that provide by cloud must detect whom receive and register it to create list of user (trusted or un-trusted depend on behavior) with system implementation have good result.

Keywords: Cloud Services; Genetic Algorithms; Markov Algorithm

1 Introduction

Cloud is one of the main facility that provide multi virtual resource for difference user at same time while traffic may attack occurring the main objective of paper to implement data security for cloud starting from "cloud is critical security" [1, 3].

The main objective in implementation is security, Section 2 cloud computing type and service, Section 3 cloud -Security categories. Section 4 Markov and genetic algorithm. Section 5 implementation and experimental result and finally Section 6 conclusion.

2 Cloud Computing Service, Deployment Type and Risk

Cloud computing means storing and accessing data or applications over the Internet.

2.1 Cloud Service

Based on a service that the cloud is offering [2-8]:

- IaaS (Infrastructure-as-a-Service): gives virtual machines and other disconnected equipment and working frameworks which might be controlled through a benefit Application Programming Interface (API).

- PaaS (Platform-as-a-Service): enables clients to grow new applications utilizing APIs, actualized and worked remotely. The stages ordered in cloud advancement devices, configuration administration and organization stages.
- SaaS (Software-as-a-Service): is programming ordered by an outsider supplier, accessible on request, more often than not through a Web program, working in a remote way. Illustrations incorporate online word preparing and spreadsheet tools, CRM administrations and Web content conveyance administrations.
- Or, Storage, Database, Information, Process, Application, Integration, Security, Management, Testing-as-a-service [9].

2.2 Cloud Type

Cloud Deployment Models in figure 1. The cloud services can be implemented in four deployment models [7–11]:

- Public Cloud: The infrastructure of cloud is influenced accessible to the overall population or vast industry to gathering and is claimed by an association offering cloud administrations.
- Community Cloud: The infrastructure of cloud is shared by a few associations and backings an uncommon group. It might be overseen by the associations or an outsider, and may exist on-premises or off-premises.
- Private Cloud: The infrastructure of cloud is worked totally for a solitary organization. It might be overseen by the association or an outsider, and may exist on-premises or off-premises.
- Hybrid Cloud: The infrastructure of cloud is an arrangement of at least two mists (private, group or open) that are bound together by institutionalized or restrictive innovation that empowers transportability of information and application.

2.3 Cloud Security Categories

There are two number of security issues related with distributed computing and these issues classifications: Security issues looked by cloud suppliers (associations giving Software, Platform) and security issues looked by their clients. The supplier must guarantee that their framework is secure and that their customers' information and applications are secured while the client must guarantee that the supplier has taken the best possible safety efforts to ensure their data [1].

2.3.1 Security Dimensions (Security Domains)

A space, with regards to systems administration, alludes to any gathering of clients, workstations, gadgets, printers, PCs and database servers that offer diverse sorts of information by means of system assets. There are additionally many sorts of sub-domains. A area has a space controller that administers all fundamental space works and oversees organize security. In this way, a space is utilized to deal with all client capacities, including username/secret key and shared framework asset validation and access. An area is likewise used to dole out particular asset benefits, for example, client accounts [4, 7].

2.3.2 Security Risks

A large number of the distributed computing related dangers are not new and can be found in the figuring situations. There are many organizations and associations that outsource huge parts of their business because of the globalization. It implies not just utilizing the administrations and innovation of the cloud supplier, yet many inquiries managing the way the supplier pursues his security policy playing out an investigation the best dangers to distributed computing can be abridged as takes after [5]:

- 1) Abuse and Un allowed Use of Cloud Computing;
- 2) Insecure Application Programming Interfaces;
- 3) Malicious Insiders;
- 4) Shared Technology Vulnerabilities;
- 5) Data Loss and Leakage;
- 6) Account, Service and Traffic Hijacking;
- 7) Unknown Risk Profile.

2.3.3 Security Threats

In this section the major threats for cloud computing are explored [6,11]. These are:

- 1) Data threats including data breaches and data loss.
- 2) Network threats including account or service hijacking, and denial of service.
- 3) Cloud environment specific threats including insecure interfaces and APIs, malicious insiders, abuse of cloud services, insufficient due diligence, and shared technology vulnerabilities.

3 Markov Algorithm

A Markov algorithm in computer science is a string rewriting system that uses grammar-like rules to operate on strings of symbols. Markov algorithms have been shown to be Turing-complete, which means that they are suitable as a general model of computation and can represent any mathematical expression from its simple notation [8, 9].

The *Rules* are a sequence of pair of strings, usually presented in the form of *pattern* \Rightarrow *replacement*. Each rule may be either ordinary or terminating. Given an input string:

- 1) Check the Rules in order from top to bottom to see whether any of the patterns can be found in the input string.
- 2) If none is found, the algorithm stops.
- 3) If one (or more) is found, use the first of them to replace the leftmost occurrence of matched text in the input string with its replacement.
- 4) If the rule just applied was a terminating one, the algorithm stops.
- 5) Go to Step 1.

Note that after each rule application the search starts over from the first rule.

4 Security System With Markov and Genetic

With this section attempted to described main algorithm that using to implement system function.

4.1 Coding

In this section attempted to give view on basic coding for variable as show below Service coding as

Table 1: Typical states of SEIR model

| Bit From 33-34 | Code |
|----------------|------|
| IAAS | 01 |
| PaAS | 10 |
| SAAS | 11 |

Bits from 35-41 depend on status and visit control;
Bit 42 Accept 0 Reject 1.

4.2 Representation Of Request For Markov And Genetic As String

In this part represent IP address as string with sequence of binary cod "0", "1", binary string using with genetic and Markov as same string set for same source(request service) element in all request IP address.

Representation for:

- 1) IP with 32 bits;
- 2) Service 2 bits (33-34);
- 3) Operate code 7 bit as three high 3 (bits 35-37) from left to right bit genetic and (4) (bits 36-41) last bit for Markov all bits (35-41);
- 4) Flag code 1 bit is (42).

Remark 1. String size 42 bits for all request and test:

Requests convert IP and operate code as record of 42 bits to start algorithm (See Algorithms 1 – 4).

Algorithm 1 Main process engine

```

1: Start
2: while online for all requests do analyzing new request do
3:   Pre-search with un-trusted IP list
4:   if un-trusted then
5:     IP block
6:   end if
7:   Operate Markov - genetic algorithm for request IP
8:   Create new visitor IP list
9:   Re-Comparing with un-trusted list and pointed as block if repeated
10:  Sort and storing
11: end while
12: End

```

Algorithm 2 Pre-search with un-trusted IP list

```
1: Start
2: CIP = current IP
3: for IP_List = start IP to last IP in list do
4:   Depend on service type bit number 33, 34
5:   un-trusted IP deal with bits (35–37) and (38–41)
6:   if CIP with un-trusted IP then
7:     Call re-analysis request(CIP, T) with all branch
8:   else
9:     Call analysisIP(CIP, T)
10:  end if
11: end for
12: if flag of CIP(T) = true then
13:   pass one
14: end if
15: End
```

Algorithm 3 Markov - genetic algorithm for request IP

```
1: Start
2: Check Markov list-1, list-2, ..., list-n
3: if pass then
4:   check with genetic list-1, list-2, ..., list-n
5:   if pass then
6:     trusted IP
7:     give service with register service type and time ((log list for monitoring)
8:   else
9:     block
10:  end if
11: end if
12: End
```

Algorithm 4 IP visitor algorithm

```
1: Start
2: Create locale IP list depend on service control ( time and through put)
3: Grouping with unique IP list
4: Save IP though put behavior
5: End
```

Remark 2. *Using genetic to avoid intrusion to network from any out-said attack as is shown in Algorithm 5.*

Remark 3. *Using Markov to avoid intrusion to network from any out-said attack as is shown in Algorithm 6.*

Algorithm 5 Fitness for genetic

```
1: Start
2: rem using IP length =32bit (binary convert)= chromosome-42bit
3: rem fitness 0-7 refers to max value (block IP)
4: Loop
5: Check I/O port per time
6: if IP[current] throughput > max_value then
7:   Fitness[current]++
8: end if
9: Until I/O port off
10: End
```

Algorithm 6 Markov

```
1: Start
2: rem using IP length =32bit (binary convert)=chromosome-42bit
3: rem trusted 4 bit refers to max value (block IP)
4: Loop
5: Check I/O port per time
6: if IP[current] throughput near to critical value of system then
7:   weight[current]++
8: end if
9: Until I/O port off
10: End
```

5 Experimental Results

Running of simulated system with genetic feature nad Markove (in traffic mode) saves the following value from system with fitness, following chart gives sample fragment view, where X axis = 1 .. 145 sequence of IP; $Y1$ axis $s2 = 1 .. 7$ fitness value (threat degree); $Y2$ axis $s1$ if fitness value [current IP] = 7 "(7-1)" (max threat) and = 15 "(15-1)" then block IP[current], where current = current I/O value (See Figure 1).

Tables 2 – 4 result detail (IP address, 32 bits value for IP with Markov value test and fitness).

6 Conclusions

In this section, we summary the following four items:

- 1) Results obtained give good indication (test with simulated environment of real world).
- 2) While traffic increase, attaching attack easier.
- 3) Any change in fitness gives a new feature to system (the current fitness may be better).
- 4) High security performance with data when change of Markov weight.

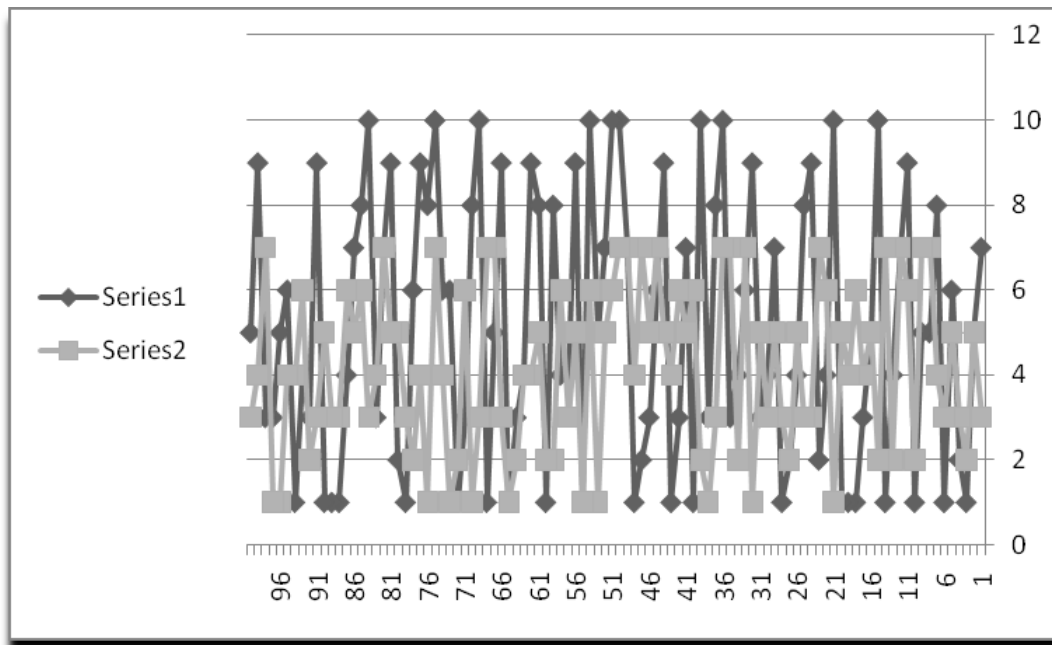


Figure 1: Chart fragment view for result of table 1 where s1=from Markov s2 from genetic

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Table 2: Typical states of SEIR model

| IP Address | Binary Format | S1 | S2 |
|-----------------|----------------------------------|----|----|
| 186:101:83:167 | 10111010011001010101001110100111 | 7 | 3 |
| 186:142:116:120 | 10111010100011100111010001111000 | 5 | 5 |
| 182:158:52:112 | 10110110100111100011010001110000 | 1 | 2 |
| 173:54:164:123 | 10101101001101101010010001111011 | 2 | 3 |
| 187:113:231:120 | 10111011011100011110011101111000 | 6 | 5 |
| 195:112:154:216 | 11000011011100001001101011011000 | 1 | 3 |
| 185:136:154:72 | 10111001100010001001101001001000 | 8 | 4 |
| 177:119:129:93 | 10110001011101111000000101011101 | 5 | 7 |
| 173:36:60:207 | 10101101001001000011110011001111 | 5 | 7 |
| 183:133:166:233 | 10110111100001011010011011101001 | 1 | 2 |
| 196:94:176:152 | 11000100010111101011000010011000 | 9 | 6 |
| 196:84:214:237 | 11000100010101001101011011101101 | 7 | 7 |
| 194:49:208:161 | 11000010001100011101000010100001 | 4 | 2 |
| 185:43:178:51 | 10111001001010111011001000110011 | 1 | 7 |
| 181:162:51:233 | 10110101101000100011001111101001 | 10 | 2 |
| 190:49:153:127 | 10111110001100011001100101111111 | 5 | 5 |
| 195:62:157:115 | 11000011001111101001110101110011 | 3 | 4 |
| 195:103:137:68 | 11000011011001111000100101000100 | 1 | 6 |
| 192:119:73:113 | 11000000011101110100100101110001 | 1 | 4 |
| 190:55:92:125 | 10111110001101110101110001111101 | 1 | 5 |
| 178:115:160:175 | 10110010011100111010000010101111 | 10 | 1 |
| 179:54:203:138 | 10110011001101101100101110001010 | 4 | 6 |
| 184:117:202:194 | 10111000011101011100101011000010 | 2 | 7 |
| 176:60:177:215 | 10110000001111001011000111010111 | 9 | 3 |
| 173:127:55:129 | 10101101011111110011011110000001 | 8 | 3 |
| 189:114:215:155 | 10111101011100101101011110011011 | 4 | 5 |
| 195:35:224:74 | 11000011001000111110000001001010 | 2 | 2 |
| 196:176:63:159 | 11000100101100000011111100111111 | 1 | 3 |
| 174:140:204:83 | 10101110100011001100110001010011 | 7 | 5 |
| 192:135:174:173 | 11000000100001111010111010101101 | 4 | 3 |
| 187:143:47:155 | 10111011100011110010111110011011 | 3 | 5 |
| 189:141:148:49 | 10111101100011011001010000110001 | 9 | 1 |
| 176:153:153:237 | 10110000100110011001100111101101 | 6 | 7 |
| 195:45:186:190 | 11000011001011011011101010111110 | 4 | 2 |
| 185:136:222:135 | 10111001100010001101111010000111 | 3 | 7 |
| 195:71:134:93 | 11000011010001111000011001011101 | 10 | 7 |
| 183:91:201:143 | 10110111010110111100100110001111 | 8 | 3 |
| 191:180:98:107 | 10111111101101000110001001101011 | 3 | 1 |
| 196:93:44:162 | 11000100010111010010110010100010 | 10 | 2 |
| 189:36:72:237 | 10111101001001000100100011101101 | 1 | 6 |
| 185:104:150:97 | 10111001011010001001011001100001 | 7 | 5 |
| 194:90:57:124 | 11000010010110100011100101111100 | 3 | 6 |

Table 3: Typical states of SEIR model (Cont.)

| IP Addres | Binary Format | S1 | S2 |
|-----------------|----------------------------------|----|----|
| 181:161:119:42 | 10110101101000010111011100101010 | 1 | 4 |
| 182:152:158:127 | 10110110100110001001111001111111 | 9 | 5 |
| 186:116:99:51 | 10111010011101000110001100110011 | 6 | 7 |
| 178:111:69:141 | 10110010011011110100010110001101 | 3 | 5 |
| 193:119:141:123 | 11000001011101111000110101111011 | 2 | 7 |
| 175:117:43:71 | 10101111011101010010101101000111 | 1 | 4 |
| 186:160:89:99 | 10111010101000000101100101100011 | 7 | 7 |
| 186:52:122:199 | 10111010001101000111101011000111 | 10 | 7 |
| 183:68:205:44 | 10110111010001001100110100101100 | 10 | 6 |
| 174:153:170:203 | 10101110100110011010101011001011 | 7 | 5 |
| 188:173:37:53 | 10111100101011010010010100110101 | 5 | 1 |
| 189:112:81:216 | 10111101011100000101000111011000 | 10 | 6 |
| 194:176:80:125 | 11000010101100000101000001111101 | 1 | 1 |
| 193:119:55:146 | 11000001011101110011011110010010 | 9 | 5 |
| 190:36:186:207 | 10111110001001001011101011001111 | 4 | 3 |
| 173:172:93:86 | 10101101101011000101110101010110 | 4 | 6 |
| 186:93:102:152 | 10111010010111010110011010011000 | 8 | 2 |
| 176:172:58:154 | 10110000101011000011101010011010 | 1 | 2 |
| 173:136:52:78 | 10101101100010000011010001001110 | 8 | 5 |
| 191:170:222:220 | 10111111101010101101111011011100 | 9 | 4 |
| 187:139:94:149 | 10111011100010110101111010010101 | 4 | 4 |
| 195:177:196:97 | 11000011101100011100010001100001 | 3 | 2 |
| 175:149:200:84 | 10101111100101011100100001010100 | 1 | 1 |
| 175:41:181:237 | 10101111001010011011010111101101 | 9 | 3 |
| 172:57:117:230 | 10101100001110010111010111100110 | 5 | 7 |
| 182:142:82:188 | 10110110100011100101001010111100 | 1 | 7 |
| 177:162:96:238 | 10110001101000100110000011101110 | 10 | 3 |
| 178:101:193:55 | 10110010011001011100000100110111 | 8 | 1 |
| 188:45:233:151 | 10111100001011011110100110010111 | 3 | 6 |
| 180:138:208:173 | 10110100100010101101000010101101 | 1 | 2 |
| 177:56:86:233 | 10110001001110000101011011101001 | 6 | 1 |
| 186:42:46:113 | 10111010001010100010111001110001 | 6 | 4 |
| 173:113:55:179 | 10101101011100010011011110110011 | 10 | 7 |
| 174:104:94:63 | 10101110011010000101111000111111 | 8 | 1 |
| 178:69:35:40 | 10110010010001010010001100101000 | 9 | 4 |
| 196:103:205:130 | 11000100011001111100110110000010 | 6 | 2 |
| 184:71:77:59 | 10111000010001110100110100111011 | 1 | 3 |
| 193:181:76:104 | 11000001101101010100110001101000 | 2 | 5 |
| 192:154:80:91 | 11000000100110100101000001011011 | 9 | 5 |
| 185:46:114:44 | 10111001001011100111001000101100 | 7 | 7 |
| 189:101:49:236 | 10111101011001010011000111101100 | 3 | 4 |
| 173:147:161:194 | 10101101100100111010000111000010 | 10 | 3 |
| 187:167:229:45 | 10111011101001111110010100101101 | 8 | 6 |
| 195:106:72:61 | 11000011011010100100100000111101 | 7 | 5 |

Table 4: Typical states of SEIR model (Cont.)

| IP Addres | Binary Format | S1 | S2 |
|-----------------|----------------------------------|----|----|
| 174:169:72:116 | 10101110101010010100100001110100 | 4 | 6 |
| 192:112:47:138 | 11000000011100000010111110001010 | 1 | 3 |
| 188:70:231:52 | 10111100010001101110011100110100 | 1 | 3 |
| 179:56:138:132 | 10110011001110001000101010000100 | 1 | 5 |
| 192:167:201:50 | 11000000101001111100100100110010 | 9 | 3 |
| 193:158:39:82 | 11000001100111100010011101010010 | 3 | 2 |
| 197:83:180:59 | 11000101010100111011010000111011 | 4 | 6 |
| 182:117:150:149 | 10110110011101011001011010010101 | 1 | 4 |
| 177:85:223:78 | 10110001010101011101111101001110 | 6 | 4 |
| 186:123:124:226 | 10111010011110110111110011100010 | 5 | 1 |
| 192:95:129:213 | 11000000010111111000000111010101 | 3 | 1 |
| 177:96:201:58 | 10110001011000001100100100111010 | 3 | 7 |
| 174:112:137:50 | 10101110011100001000100100110010 | 9 | 4 |
| 191:157:102:208 | 10111111100111010110011011010000 | 5 | 3 |

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An Improved Cuckoo Algorithm Based on Adaptive Simulated Annealing Method Used for Traveling Salesman Problem

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Abstract

Traditional Cuckoo algorithm has some disadvantages, such as inaccuracy results, low convergence speed and easily falling into local solution. Cuckoo search is a new intelligence meta-heuristic search algorithm which is based on the obligate brood parasitic behavior of some Cuckoo species in combination with the *Lévy* flight behavior of some birds and fruit flies. Therefore, an improved Cuckoo algorithm based on adaptive simulated annealing method is proposed in this paper. When it uses Cuckoo algorithm to search the optimal results. If the results fall into local optimal, then it utilizes adaptive simulated annealing method, has the strong ability of global search, to randomly select parts of bird's nests and conduct adaptive simulated annealing search. Finally, it can obtain a worse solution with a certain probability, which is conducive to jumping out of local solution for Cuckoo algorithm. By using this improved method, it can enhance the search ability of Cuckoo algorithm and accelerate the convergence time. At last, to inspect the performance of the proposed optimization method, experiments on the solution convergence, average execution time, and percentage deviations of both the best and average solutions to the best known solution are conducted. Similarly, in order to obtain unbiased and comprehensive comparisons, descriptive statistics such as mean, standard deviation, minimum, maximum and range were used to describe each of the algorithms. Empirical analysis results show that the quality of the final results as well as the convergence rate of the new algorithm in some cases produced even more superior solutions than the best known travelling salesman problem benchmarked results and it has the higher effectiveness than other optimization algorithms.

Keywords: Cuckoo Algorithm; Adaptive Simulated Annealing Method; Global Search; Convergence Time; Travelling Salesman Problem

1 Introduction

Currently, many problems in engineering technology fields need to be transformed into optimization problems, because it needs to search the global optimal value. Optimization problems can be divided into single objective and multi objective, which are generally difficult to solve. Many existed optimization algorithms are used for solving these problems, nevertheless, they are not the optimal choice in an appropriate convergence time. Due to the increasing of problem size, calculating amount is extremely large. Maybe travelling salesman problem (TSP) is the most famous example. TSP [5] is a typical optimization problem in the area of operational research. It belongs to Non-Deterministic Polynomial

(NP) problem and is used in many areas such as PCB drilling, goods delivery routes, control of robots and workshop scheduling. Although there are some precise algorithms which can be used to solve the problem, the principle of precise algorithms is complex. For small size TSP [22], Branch and bound method, greedy method and cutting plane method are often used to solve these problems. But for big size TSP, it is difficult to use above the methods.

So many researchers find inspiration from the nature to simulate creatures and solve global optimization problems. For example, genetic algorithms (GA), tabu search (TS), simulated annealing (SA), ant colonies optimization (ACO), particle swarm optimization (PSO), bee colonies optimization (BCO), monkey search algorithm (MS), harmony search algorithm (HS), firefly algorithm (FA), intelligent water drops (IWD), Cuckoo search, bat algorithm (BA), fireworks algorithm (FA), wolf search algorithm (WA), differential evolution and brainstorming algorithm etc., are presented in [2, 7–10, 19, 24, 26–31, 39, 40, 43] respectively. Most of these meta-heuristics are nature-inspired, mimicking successful feature in biological. All of these algorithms can solve combinatorial optimization problems depend on many factors, so there are no specific algorithms to solve all optimization problems. And many intelligence algorithms need to be improved, especially for N-P problems.

Every known, many these intelligence algorithms have been used in TSP. In [32], chaotic simulated annealing with a transiently chaotic neural network was applied to the travelling salesman problem. Reference [18] proposed an iterated tabu search algorithm for the well-known combinatorial optimization problem, the travelling salesman problem, which was based on so-called intensification and reconstruction paradigm. Also Discrete Particle Swarm Optimization [25], Genetic Simulated Annealing Ant Colony System with Particle Swarm Optimization Techniques (GSA-ACS-PSOT) [6] and Fuzzy Particle Swarm Optimization with Simulated Annealing and Neighborhood Information (PSO-NIC-SA) [1] are used for TSP.

The Cuckoo search (CS) algorithm is a new heuristic swarm intelligence algorithm proposed by Yang and Deb [38]. CS algorithm is derived from the behavior simulation of Cuckoo parasitic brood behavior and birds or *drosophila melanogaster*. CS algorithm has been used in engineering practice because of its relatively simple structure, less control parameters, the optimized search path and strong ability to jump out of local extremum value. The performance of CS algorithm is very close to differential evolution, so CS has become a hot topic in the field of intelligent algorithms. However, CS algorithm has some disadvantages, such as slow search speed in late of algorithm, low convergence precision and search energy shortage. Therefore, lots of researchers have proposed many improved CS algorithms to further improve the performance of the CS algorithm. In reference [33], the author adaptively modified parameter detection probability P_a and step factor α and applied it into the feed-forward neural network, which improved convergence speed and optimization accuracy of initial algorithm. Yin [17] proposed a new method based on discrete artificial bee colony algorithm for travelling salesman problem. Searching strategy and transforming mechanism of leading bees, following bees and scout bees were redefined according to discrete variables. The transition of swarm role was based on ratios factor of definition. Leading bees used 2-Opt operator and learning operator to accelerate the convergence speed and to search the neighborhood. Yang [15] considered multistage hybrid flow shop scheduling problems. Tehn NEH heuristic was incorporated with the initial solutions to obtain the optimal or near optimal solutions rapidly in the improved Cuckoo search algorithm. In [4, 11, 16, 37], Cuckoo search algorithm or improved Cuckoo search algorithm were used for flow shop scheduling problems. Wang [34] put forward a hybridizing harmony search algorithm with Cuckoo search for global numerical optimization. Li [14] used two new mutation rules based on the rand and best individuals among the entire population to improve Cuckoo search algorithm. What's more, CS was used for engineering design problems in [41]. Most of them mainly aim to optimize single objective problem.

Therefore, this paper proposes an improved Cuckoo search algorithm based on adaptive simulated annealing method to offset the disadvantage of traditional CS(easily falling into local solution, slow

convergence speed). The new method utilizes the strong global search ability of adaptive simulated annealing. It can randomly select part of bird's nests to make simulated annealing search, which improves the convergence speed and accuracy of the algorithm. Finally, experiments are conducted by testing twelve standard functions and compared with other intelligence algorithms. The results show that new algorithm has the better optimization performance. The following is the structure of this paper. In Section 2 and Section 3, it briefly introduces cuckoo search algorithm and TSP respectively. Section 4 introduces the detailed new algorithm. Experiments are conducted to demonstrate the better performance in Section 5. There is a conclusion in the last section.

2 Cuckoo Search Algorithm

The most special habit of cuckoo is parasitic brood. At the time of reproduction, the cuckoo cannot hatch for themselves, rather than lay their eggs in other birds' nest, let them hatch. Hatched chicks instinctively can damage other eggs in the nest, and be able to emit a more loudly scream than chicks of the host. In order to reduce the probability that they are found, some cuckoos mimic their eggs as the selected birds' eggs. Many hosts judge the health degree of next generations through chirp size, and healthy offspring can get more food. So there is a higher survival rate. Under some certain conditions, when the host finds some strange eggs in the nest, host will abandon the nest and choose another place as a nest. Competing with host, the cuckoo eggs and chicks scream will have a development toward the direction of simulating host to against the increased ability of distinguishing next generation of host. The cuckoo search algorithm is derived from the reproduction behavior of cuckoo and Lévy flight mode. Lévy flight is a kind of random flight way combining long time and small scale local search with occasionally a larger scale exploring. In biological swarm intelligent optimization algorithms, this way can make a bigger search filed, more diversity of population. Also it is easy to jump out of local optimal solution. In the cuckoo search algorithm, in order to make a virtualization for laying eggs cuckoo, it needs to make three idealized assumptions as follows [42].

- 1) Every cuckoo lays only one egg, and then randomly finds a nest of other birds to hatch it.
- 2) In a group of randomly selected nest, the best bird's nest will be retained.
- 3) The number of available nest n is constant, and the probability of finding other eggs by the host is $pa \in [0, 1]$.

Based on the above assumptions, the mind of CS algorithm is that an egg in bird nest is a candidate solution, a cuckoo egg is a new solution, CS uses a new solution and a better solution in the next generation to replace the worst candidate solution in nest. Finally, it finds the best solution in the nest.

Assuming that D-dimension vector $X_i = [x_1, x_2, \dots, x_D]$ denotes every egg or cuckoo. Levy method is used to produce offspring,

$$x_i^{t+1} = x_i^t + a \otimes \text{levy}(\lambda). \quad (1)$$

where x_i^{t+1} and x_i^t are the i -th nest position in the $t+1$ iteration and t iteration respectively. \otimes is point to point multiplication. a is step length control. $\text{levy}(\lambda)$ denotes jumping path of random search, $\text{levy} \sim u = t^{-\lambda}$. When position updating, random number $r = [0, 1]$ compares with pa . If $r > pa$, it makes a random transformation for x_i^t . Otherwise, it keeps unchanged.

Detailed processes of CS are as follows(summarized in **Algorithm 1**).

Step 1. Initializing the population. It randomly generates N bird's nests in D-dimension solution space and computes all the nests' fitness value, then it keeps the nest with the optimal fitness value.

Step 2. Assuming that the position of i – th nest is $x_i = [x_{i1}, x_{i2}, \dots, x_{iD}]$, $1 \leq i \leq N$. It calculates the fitness value of the whole nests in the t – th iteration. The optimal nest's position will be kept in the next iteration. Updating nest's position by using the following two formulas.

$$x_i^{t+1} = x_i^t + \text{stepsize} \otimes N(0, 1). \quad (2)$$

$$\text{stepsize} = \alpha \cdot \text{step} \otimes (x_i^t - x_{best}^t). \quad (3)$$

Where x_i^t is the t – th iteration position of nest i . $N(0, 1)$ denotes the D-dimension standard normal distribution. \otimes denotes point to point multiplication. step is the random step length generated by Lévy distribution. x_{best}^t is the best nest in t – th iteration.

Step 3. Nest host finds the external egg with probability P_a . And it randomly generates number $r \in [0, 1]$. If $r > P_a$, the old nest will be replaced by new nest. Calculating all the nests' fitness value and keeping the nest with optimal fitness.

Step 4. Recording the optimal solution. Otherwise return to Step 2.

Algorithm 1 Cuckoo search algorithm

- 1: Begin
 - 2: Assuming that objective function $f(x)$, $x = (x_1, \dots, x_d)^T$.
 - 3: Generating initial population of n host nests $x_i, i = 1, \dots, n$.
 - 4: **while** $t < \text{MaxGeneration}$ **do**
 - 5: Randomly obtain a cuckoo by Lévy flights.
 - 6: Evaluate its fitness F_i .
 - 7: Randomly select a nest from $n(i.e.j)$.
 - 8: **if** $F_i > F_j$ **then**
 - 9: Use new solution to replace j .
 - 10: **end if**
 - 11: A fraction p_a of worse nests are abandoned and new ones are built.
 - 12: Keep the best solutions (or nests with quality solutions);
 - 13: Rank the solutions and find the current best
 - 14: **end while**
 - 15: Postprocess results and visualization
 - 16: End
-

3 Travelling Salesman Problem (TSP)

TSP is a hot issue, which was by the Irish mathematician W.R. Hamilton and the British mathematician Thomas Kirkman introduced in 1800s [20]. TSP can be described as a permutation problem with the objective of finding a shortest closed tour which visits all the cities in a given set; TSP can be modeled as completely connected graph in a D-dimensional Euclidean space (D is size of the problem), which cities are the graph's vertices, paths are the graph's edges, and a path's distance is the edge's length. Mathematically, it can be defined as given a set of n cities, named (c_1, \dots, c_n) , and permutations π_1, \dots, π_n , the goal is to find a number of permutation $\pi_i = c_1, c_2, \dots, c_n$, such that minimizes $f(\pi)$ the sum of all Euclidean distances d between each city from the same path π and it is given as follows:

$$f(\pi) = \sum_{i=1}^{n-1} d_{\pi}(i)\pi(i+1) + d_{\pi}(n)\pi(1). \quad (4)$$

The Euclidean distance d , between any two cities with coordinate (x_1, y_1) and (x_2, y_2) is calculated by:

$$d = \sqrt{(x_1 - x_2)^2 + (y_1 - y_2)^2} \quad (5)$$

Broadly, the TSP is classified as STSP. In this symmetric TSP variation, all of the edge costs are symmetric. This means that, for all vertices in the graph, the cost incurred, when moving from vertex i to vertex j , is the same as the cost incurred when moving from vertex j to vertex i , i.e., $d_{ij} = d_{ji}$.

4 Cuckoo Search Algorithm Based on Adaptive Simulated Annealing

Basic CS adopts Lévy flight way to randomly generate position of new bird's nest. However, this method easily results in slow convergence speed and imprecise optimization results. Hence, this paper uses simulated annealing method to update part of nests, which avoids cuckoo algorithm falling into local solution. That can produce new optimal individual and execute next iteration that improve the search accuracy.

4.1 Adaptive Simulated Annealing Method

Simulated annealing (SA) method [3,36] simulates cooling process of classic particle system in thermodynamics to solve the planning problem. As the temperature T of the isolated particle system falls at a slow speed, system approximates in the thermodynamic equilibrium state, which is equivalent to the global minimum point of energy function. SA adopts Metropolis acceptance rule for each value of control parameter T , continuously produces "new solution-judgment-accept or reject" iteration. SA utilizes a stochastic approach to direct the search. Even if the move causes the value of the objective function to become worse, SA allows the search to proceed to a neighboring state. SA guides the original local search method in the following way. If a move to a neighbor S' in the neighborhood $N_\lambda(S)$ decreases the objective function value, or leaves it unchanged then the move always accepted. More precisely, the solution S' is accepted as the new current solution if $\Delta < 0$, where $\Delta = C(S') - C(S)$. To allow the search to escape a local optimum, moves that increases the objective function value are accepted with a probability $e^{-\frac{\Delta}{T}}$, if $\Delta > 0$, where T is a parameter called the "temperature". The value of T varies from a relatively large value to a small value close to zero. These values are controlled by a cooling schedule which specifies the initial and temperature values at each stage of the algorithm. Detailed SA processes are as follows.

Step 1. Given iteration initial solution x_0 , fitness value $f(x_0)$ and cooling schedule parameters (including current temperature T_i , attenuation coefficient A , final value f_1 and Markov chain length L). In this process, T_i is calculated by:

$$T_i = \frac{1}{2}(T_0 - T_N) \times (1 + \cos(\frac{i\pi}{N_{max}})) + T_N. \quad (6)$$

Where T_0 is the initial temperature, T_N is the lower bound of temperature, N_{max} is the total iteration number.

Step 2. When $T = T_i$, it executes the following search.

- According to current solution's position x_k , it uses the following formula to generate next generation's position x_{k+1} .

$$x_{k+1} = x_k \oplus r. \quad (7)$$

Where \oplus is point to point addition, r is a random number.

- Generate a random number β in $(0, 1)$. Use the following formula to calculate a corresponding transition probability P^* with the given current solution x_k and temperature T_i .

$$P = e^{\frac{f(x_k) - f(x_{k+1})}{T_i}}, f(x_{k+1}) \geq f(x_k). \quad (8)$$

If $\beta < P$, then accept new solution $x_k = x_{k+1}$. Otherwise the current solution is unchanged.

- If search number is less than L , return to first step. Otherwise, return to Step 3.

Step 3. Judging whether it meets stop condition. If YES, then stop iteration. Otherwise return to step 2. And update temperature as T_{i+1} . Find the optimal solution in this temperature.

4.2 Judging Criteria for Adaptive Annealing Mechanism

Whether nest enters into simulated annealing process depending on whether algorithm falls into local solution. Quality evaluation is defined as change rate of optimal nest fitness value as formula(9).

$$\frac{|f(x_{best}^t) - f(x_{best}^{t-10})|}{|f(x_{best}^t)|} < \varepsilon, t > 10. \quad (9)$$

Where $f(x_{best}^t)$ is current optimal nest fitness value. $f(x_{best}^{t-10})$ is the optimal nest fitness value in $m - th$ iteration. $\varepsilon = 0.005$ is threshold value.

4.3 Nest's Update Mechanism and Parameter Setting in Adaptive SA

Although adaptive SA has the strong global search ability, its efficient is very low. In the proposed algorithm, it uses adaptive SA to avoid CS falling into local solution. Therefore, it sets nest's update mechanism and parameter in adaptive SA.

In basic adaptive SA, it uses Formula (6) to update current solution x_k . And Formula (10) is selected as update mechanism.

$$x_{k+1} = x_k + (x_k \otimes N(0, 1)). \quad (10)$$

Mainly the method selects initial control parameter $T_0 = 3$, attenuation coefficient $A = 0.9$, final value $T_{end} = 0.01$ and Markov chain length $L = 30$. After setting these parameters, it not only utilizes the strong global search ability, but avoids low efficiency of algorithm.

5 Detailed Process of Proposed Algorithm

Main idea of improved Cuckoo search algorithm based on adaptive simulated annealing method (ICASA) is that when algorithm falls into local optimal solution, it randomly selects part of nests to update and conducts adaptive SA search to generate new nests (Shown in **Algorithm 2**). The processes are as follows.

Step 1. Initializing parameters. Assuming that population number N , D-dimension search space, the biggest iteration number N_{iter} , step length factor α , detection probability P_a .

Step 2. Initializing population. Randomly produce N nests, calculate each nest's fitness value and keep the optimal nest.

Step 3. Conduct *Lévy* iteration and update nest's position by using (2)(3). Compute new nest's fitness value and compare to the last nest, keep the better nest.

Step 4. Use (9) to judge whether algorithm falls into global optimal solution. If YES, then randomly selects parts of nests to conduct adaptive SA, the rest nests conduct two-way crossover operation. Then it merges the results with the above ways. Compute all the nests' fitness value and keep the optimal nest. Return to step5. If it does not fall into global optimal solution, then directly returns to step5.

Step 5. Nest host finds outside egg with probability P_a and generates uniform distribution random number $r \in (0, 1)$. If $r > P_a$, it makes randomly mutation operation for the found nests and uses new nest to replace old nest. Compute all the nests' fitness value and keep the best nest.

Step 6. Record historical optimal solution. If it does not reach stop condition, then return to Step 2.

Algorithm 2 Improved Cuckoo search algorithm based on adaptive SA

```

1: Begin
2: Assuming that objective function  $f(x)$ ,  $x = (x_1, \dots, x_d)^T$ .
3: Generating initial population of  $n$  host nests  $x_i, i = 1, \dots, n$ .
4: while  $t < MaxGeneration$  do
5:   Randomly obtain a cuckoo position by Lévy flights.
6:   Evaluate its fitness  $F_i$ .
7:   Randomly select a nest from  $n(i.e.j)$ .
8:   if  $F_i > F_j$  then
9:     Conduct adaptive SA operation and use new solution to replace  $j$ .
10:  end if
11:  A fraction  $p_a$  of worse nests are abandoned and new ones are built.
12:  Keep the best solutions (or nests with quality solutions);
13:  Rank the solutions and find the current best
14: end while
15: Postprocess results and visualization
16: End

```

6 Experiments and Analysis

In order to verify the new algorithm, it makes experiments on TSP with the new method and take benchmarks data from TSPLIB library [23]. Each function runs 50 times independently. The experiments are conducted in MATLAB R2010a, with 2.2GB RAM, CPU 2.1GHz and Intel Core. Also comparisons are made to genetic algorithm (GA), particle swarm optimization algorithm (PSO), ant colony optimization (ACO), random-key cuckoo search (RKCS) algorithm [21], discrete monarch butterfly optimization (DMBO) [35], Kalman filter cuckoo search algorithm (KFCS) [13] and the new method (ICASA) using several standard functions in TSPLIB.

The experiment uses the same parameters: population number $N = 30$, maximum iteration number $N_{iter} = 500$, step length factor $\alpha = 0.01$, find probability $P_a = 0.25$.

Tables 1 and 2 are the function optimization results with GA, PSO, ACO, RKCS, DMBO, KFCS and ICASA. Table1 indicates that the optimal solution of the tested functions with the seven algorithms. Following is the explanation for every column. The first column indicates the instance name ended by the number of cities, the second column stands for the algorithms. the third column indicates the average value obtained by different algorithms, the fourth one represents the standard deviation, the

fifth column indicates the best value, the sixth column denotes the obtained worst results, the seventh column represents average time.

The experiment uses the twelve functions to test the seven algorithms as Table 3 and evaluate them from average value, standard deviation, best value, worst value and average time respectively. Sphere and Rosenbrock is the high-dimensional unimodal function and only has global optimal value, which can test the mining ability. And Schaffer, Griewank, Rastrigin and Ackley is multimodal function and has several local extreme values with that the algorithm easily falls into local optimal value, which can test the exploring ability of algorithm. First, average value and best value can show convergence precision and optimization ability. So ICASA is superior to other six algorithms in table1 about average value and best value. For the Sphere function, optimization result approaches to theoretical optimum reaching at 10^{-16} . It illustrates that ICASA has a good mining ability due to SA operation. With the iteration number increasing, cuckoo search range gradually drops. Search range is more accuracy, which also increases convergence speed. For Schaffer, Griewank and Ackley function, theoretical optimum has been found, accuracy of Ackley is 10^{-21} , which demonstrates that ICASA has a good search ability. SA can help cuckoo communicate with each other and reduce the probability of falling into local value, improve the search ability of algorithm. Standard deviation and worst value presents the robustness of algorithm. From table1, it can be known that ICASA has the better robustness. Average time means complexity of the algorithm. GA, PSO, ACO is higher than ICASA. ICASA does not add running time, which shows that it is an effectiveness algorithm. Figure1-4 are average optimal value with the seven algorithms (X denotes iteration number, Y denotes average optimal value). (In the figure, deep red, red, orange, yellow, green, deep blue and purple denote the ICASA, KFCS, DMBO, RKCS, ACO, PSO and GA respectively.).

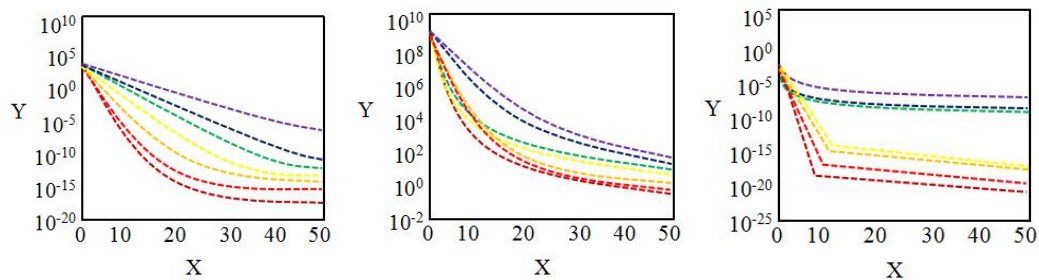


Figure 1: Sphere, Rosenbrock and Schaffer function

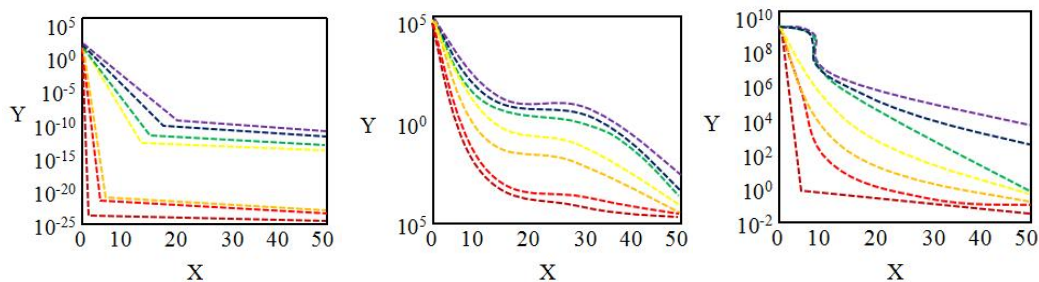


Figure 2: Griewank, Rastrigin and Ackley function

This paper also selects six typical TSP problems in TSPLIB: bays29, eil51, berlin52, gr96, pr144

Table 1: The function optimization results with GA, PSO, ACO, RKCS, DMBO, KFCS and ICASA

| Function | Algorithm | Average value | Standard deviation | Best value | Worst value | Average time |
|------------|-----------|-----------------|--------------------|-----------------|----------------|--------------|
| Stepere | GA | 16.843 | 14.574 | 20.584 | 12.649 | 16.87 |
| | PSO | 12.366 | 10854 | 16.549 | 8.172 | 11.58 |
| | ACO | 8.05 | 7.64 | 14.249 | 3.121 | 11.23 |
| | RKCS | $5.424e^{-18}$ | $8.563e^{-18}$ | $1.507e^{-17}$ | $1.532e^{-20}$ | 7.71 |
| | DMBO | $6.785e^{-18}$ | $6.527e^{-18}$ | $6.3836e^{-17}$ | $2.571e^{-20}$ | 7.65 |
| | KFCS | $5.424e^{-18}$ | $8.563e^{-18}$ | $1.507e^{-17}$ | $1.532e^{-20}$ | 7.56 |
| | ICASA | 0 | 0 | 0 | 0 | 6.52 |
| Rosenbrock | GA | $3.217e^{-3}$ | $2.229e^{-3}$ | $4.672e^{-3}$ | $1.579e^{-3}$ | 10.34 |
| | PSO | $3.996e^{-7}$ | $2.387e^{-7}$ | $6.024e^{-7}$ | $1.112e^{-7}$ | 6.18 |
| | ACO | $6.649e^{-7}$ | $5.448e^{-7}$ | $9.187e^{-7}$ | $4.44e^{-7}$ | 5.64 |
| | RKCS | $6.254e^{-9}$ | $6.661e^{-9}$ | $10.254e^{-9}$ | $2.288e^{-9}$ | 4.26 |
| | DMBO | $6.579e^{-15}$ | $5.331e^{-15}$ | $8.314e^{-15}$ | $3.947e^{-15}$ | 3.78 |
| | KFCS | $5.624e^{-17}$ | $4.624e^{-17}$ | $9.645e^{-17}$ | $1.264e^{-17}$ | 3.87 |
| | ICASA | 0 | 0 | 0 | 0 | 1.06 |
| Schaffer | GA | $8.942e^{-3}$ | $7.778e^{-3}$ | $15.649e^{-3}$ | $1.674e^{-3}$ | 22.38 |
| | PSO | $10.318e^{-5}$ | $9.543e^{-5}$ | $14.619e^{-5}$ | $6.447e^{-5}$ | 20.54 |
| | ACO | $2.784e^{-9}$ | $5.174e^{-9}$ | $4.316e^{-9}$ | $1.294e^{-9}$ | 16.94 |
| | RKCS | $6.549e^{-10}$ | $5.943e^{-10}$ | $9.544e^{-10}$ | $3.856e^{-10}$ | 14.25 |
| | DMBO | $6.748e^{-14}$ | $5.174e^{-14}$ | $8.354e^{-13}$ | $4.587e^{-15}$ | 14.25 |
| | KFCS | $3.472e^{-14}$ | $1.455e^{-14}$ | $4.218e^{-13}$ | $2.642e^{-15}$ | 12.67 |
| | ICASA | 0 | 0 | 0 | 0 | 10.24 |
| Griewank | GA | 1.123 | 0.975 | 3.214 | 0.642 | 6.78 |
| | PSO | 0.484 | 0.3141 | 0.7081 | 0.2532 | 5.67 |
| | ACO | $7.364e^{-11}$ | $6.669e^{-11}$ | $8.673e^{-11}$ | $6.084e^{-11}$ | 4.55 |
| | RKCS | $4.589e^{-13}$ | $4.661e^{-13}$ | $6.638e^{-13}$ | $3.342e^{-13}$ | 3.38 |
| | DMBO | $6.245e^{-14}$ | $5.414e^{-14}$ | $2.225e^{-13}$ | $9.286e^{-17}$ | 3.38 |
| | KFCS | $10.661e^{-20}$ | $9.876e^{-20}$ | $15.436e^{-20}$ | $5.647e^{-20}$ | 2.21 |
| | ICASA | 0 | 0 | 0 | 0 | 2.08 |
| Rastrigin | GA | $6.477e^{-3}$ | $5.123e^{-3}$ | $9.582e^{-3}$ | $3.189e^{-3}$ | 7.85 |
| | PSO | $5.123e^{-7}$ | $4.875e^{-7}$ | $7.316e^{-7}$ | $3.487e^{-7}$ | 7.85 |
| | ACO | $6.149e^{-7}$ | $5.318e^{-7}$ | $7.649e^{-7}$ | $3.335e^{-7}$ | 7.85 |
| | RKCS | $6.245e^{-13}$ | $6.314e^{-13}$ | $9.057e^{-13}$ | $7.945e^{-13}$ | 6.66 |
| | DMBO | $1.614e^{-13}$ | $3.58e^{-13}$ | $7.778e^{-12}$ | $5.331e^{-15}$ | 6.66 |
| | KFCS | $4.656e^{-20}$ | $3.986e^{-20}$ | $6.478e^{-20}$ | $2.345e^{-20}$ | 6.66 |
| | ICASA | 0 | 0 | 0 | 0 | 5.61 |
| Ackley | GA | $3.365e^{-9}$ | $3.549e^{-9}$ | $4.112e^{-9}$ | $2.645e^{-9}$ | 16.08 |
| | PSO | $3.798e^{-15}$ | $3.146e^{-15}$ | $6.487e^{-15}$ | $1.669e^{-15}$ | 15.91 |
| | ACO | $5.219e^{-15}$ | $5.113e^{-15}$ | $7.336e^{-15}$ | $3.674e^{-15}$ | 14.64 |
| | RKCS | $6.798e^{-19}$ | $6.491e^{-19}$ | $8.557e^{-19}$ | $4.679e^{-19}$ | 12.59 |
| | DMBO | $6.875e^{-19}$ | $5.347e^{-19}$ | $8.457e^{-19}$ | $5.664e^{-19}$ | 12.59 |
| | KFCS | $3.461e^{-19}$ | $4.102e^{-19}$ | $4.397e^{-19}$ | $2.672e^{-19}$ | 12.58 |
| | ICASA | $7.328e^{-21}$ | $5.943e^{-21}$ | $9.478e^{-21}$ | $5.943e^{-21}$ | 11.67 |

Table 2: The function optimization results with GA, PSO, ACO, RKCS, DMBO, KFCS and ICASA (Cont.)

| Function | Algorithm | Average value | Standard deviation | Best value | Worst value | Average time |
|----------|-----------|----------------|--------------------|-----------------|----------------|--------------|
| U574 | GA | $6.748e^{-13}$ | $6.541e^{-13}$ | $8.388e^{-13}$ | $5.847e^{-13}$ | 15.51 |
| | PSO | $7.846e^{-15}$ | $7.564e^{-15}$ | $8.346e^{-15}$ | $7.285e^{-15}$ | 13.24 |
| | ACO | $7.842e^{-15}$ | $7.321e^{-15}$ | $9.714e^{-15}$ | $6.123e^{-15}$ | 12.64 |
| | RKCS | $6.486e^{-19}$ | $6.664e^{-19}$ | $7.358e^{-19}$ | $5.674e^{-19}$ | 8.88 |
| | DMBO | $3.942e^{-19}$ | $3.673e^{-19}$ | $6.488e^{-19}$ | $1.947e^{-19}$ | 8.67 |
| | KFCS | $3.287e^{-20}$ | $3.242e^{-20}$ | $4.258e^{-20}$ | $2.357e^{-20}$ | 7.86 |
| | ICASA | $7.457e^{-23}$ | $7.264e^{-23}$ | $9.364e^{-23}$ | $5.347e^{-23}$ | 6.78 |
| D1291 | GA | 11.556 | 10.641 | 16.342 | 6.785 | 9.15 |
| | PSO | 13.809 | 12.851 | 22.192 | 4.789 | 9.12 |
| | ACO | $4.618e^{-3}$ | $5.346e^{-3}$ | $6.742e^{-3}$ | $2.557e^{-3}$ | 7.75 |
| | RKCS | $6.849e^{-5}$ | $5.249e^{-5}$ | $9.127e^{-5}$ | $4.467e^{-5}$ | 6.67 |
| | DMBO | $3.849e^{-5}$ | $3.338e^{-5}$ | $6.669e^{-5}$ | $1.349e^{-5}$ | 5.23 |
| | KFCS | $5.627e^{-8}$ | $4.794e^{-8}$ | $8.644e^{-8}$ | $3.243e^{-8}$ | 5.21 |
| | ICASA | 0 | 0 | 0 | 0 | 4.57 |
| Pr2392 | GA | $5.874e^{-7}$ | $5.532e^{-7}$ | $6.477e^{-7}$ | $4.582e^{-7}$ | 21.34 |
| | PSO | $7.187e^{-9}$ | $7.054e^{-9}$ | $8.996e^{-9}$ | $5.347e^{-9}$ | 20.59 |
| | ACO | $5.874e^{-9}$ | $5.532e^{-9}$ | $6.477e^{-9}$ | $4.582e^{-9}$ | 18.64 |
| | RKCS | $7.121e^{-12}$ | $6.482e^{-12}$ | $10.674e^{-12}$ | $5.397e^{-12}$ | 12.38 |
| | DMBO | $4.668e^{-12}$ | $4.167e^{-12}$ | $7.542e^{-12}$ | $1.396e^{-12}$ | 12.38 |
| | KFCS | $5.645e^{-12}$ | $5.484e^{-12}$ | $6.584e^{-12}$ | $4.127e^{-12}$ | 12.38 |
| | ICASA | $5.978e^{-17}$ | $4.567e^{-17}$ | $8.917e^{-17}$ | $2.526e^{-17}$ | 10.54 |
| Usa13509 | GA | $6.749e^{-9}$ | $6.428e^{-9}$ | $8.333e^{-9}$ | $5.971e^{-9}$ | 20.87 |
| | PSO | $6.889e^{-13}$ | $6.478e^{-13}$ | $8.943e^{-13}$ | $4.879e^{-13}$ | 18.99 |
| | ACO | $4.387e^{-13}$ | $4.137e^{-13}$ | $5.677e^{-13}$ | $3.121e^{-13}$ | 17.54 |
| | RKCS | $3.228e^{-13}$ | $3.216e^{-13}$ | $3.471e^{-13}$ | $2.994e^{-13}$ | 15.44 |
| | DMBO | $6.487e^{-16}$ | $6.348e^{-16}$ | $7.336e^{-16}$ | $5.471e^{-16}$ | 15.34 |
| | KFCS | $5.477e^{-19}$ | $5.617e^{-19}$ | $6.341e^{-19}$ | $4.627e^{-19}$ | 10.54 |
| | ICASA | 0 | 0 | 0 | 0 | 8.69 |
| KroD100 | GA | $3.334e^{-9}$ | $3.411e^{-9}$ | $4.189e^{-9}$ | $2.552e^{-9}$ | 20.08 |
| | PSO | $7.846e^{-13}$ | $7.431e^{-13}$ | $9.706e^{-13}$ | $6.663e^{-13}$ | 17.23 |
| | ACO | $3.843e^{-13}$ | $3.174e^{-13}$ | $5.313e^{-13}$ | $1.888e^{-13}$ | 16.11 |
| | RKCS | $7.089e^{-15}$ | $6.943e^{-15}$ | $7.791e^{-15}$ | $6.477e^{-15}$ | 15.78 |
| | DMBO | $3.788e^{-17}$ | $3.412e^{-17}$ | $4.617e^{-17}$ | $2.997e^{-17}$ | 13.98 |
| | KFCS | $6.784e^{-18}$ | $6.327e^{-18}$ | $8.713e^{-18}$ | $4.747e^{-18}$ | 11.27 |
| | ICASA | 0 | 0 | 0 | 0 | 7.45 |
| rat783 | GA | $4.428e^{-12}$ | $5.314e^{-12}$ | $3.592e^{-12}$ | $5.638e^{-12}$ | 20.37 |
| | PSO | $4.428e^{-12}$ | $5.314e^{-12}$ | $3.592e^{-12}$ | $5.638e^{-12}$ | 16.73 |
| | ACO | $6.493e^{-8}$ | $6.354e^{-8}$ | $9.647e^{-8}$ | $3.189e^{-8}$ | 12.54 |
| | RKCS | $7.549e^{-10}$ | $4.512e^{-10}$ | $12.579e^{-10}$ | $2.546e^{-10}$ | 12.47 |
| | DMBO | $6.582e^{-7}$ | $5.473e^{-7}$ | $8.547e^{-7}$ | $8.426e^{-7}$ | 10.05 |
| | KFCS | $7.724e^{-9}$ | $6.127e^{-9}$ | $4.743e^{-9}$ | $9.547e^{-9}$ | 10.14 |
| | ICASA | $4.428e^{-12}$ | $5.314e^{-12}$ | $3.592e^{-12}$ | $5.638e^{-12}$ | 8.54 |

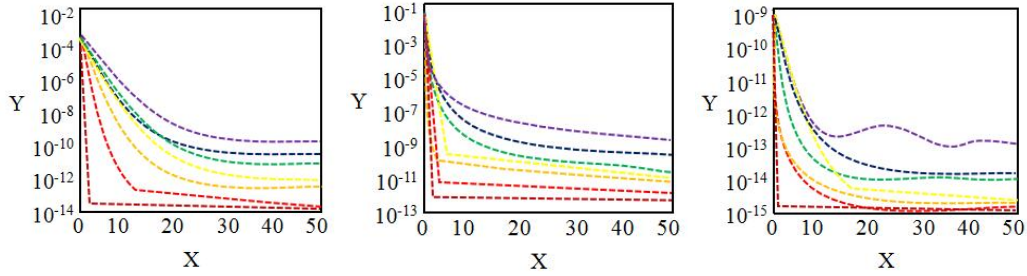


Figure 3: U574, D1291 and Pr2392 function

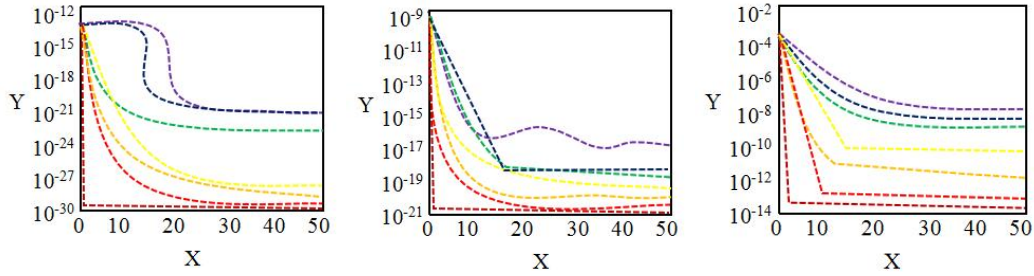


Figure 4: Usa13509, KroD100 and rat783 function

and gr202 to make much optimization calculation. Evaluation criterion: optimal value (OV), success rate (SR%), deviation (DE%), percentage deviation of a solution (PDs%) and average iteration times (AIT). Supposing S_0 is the shortest path value. If after calculating optimal path value $best/S_0 < 1.03$, so the current optimization calculation is successful [12]. DE can be calculated by :

$$DE = \frac{\sum_{i=1}^T (B_i - S_0)}{T_{S_0}} \times 100\%. \quad (11)$$

$$PDs = \frac{(s_1 - s_2)}{s_2} \times 100\%. \quad (12)$$

Where B_i is the shortest path value at $i - th$ iteration. s_1 is solution length and s_2 is optimal solution length. $T=30$ is the number of dependently calculation. Additionally, in order to evaluate the performance and to give more credibility of results, Table 3 (it selects six functions from above test functions) makes respectively a fair comparison to discrete particle swarm optimization (DPSO), original cuckoo search algorithm (CS) and improved CS (ICS) as presented in their original papers.

From Table 3 it can know that ICASA can obtain the optimal path solution for different TSP problems. And it can reach the optimal solutions of 0 from all tested instances of PDs, which indicates that all the solutions found over 1000 running times are the same as the optimal solutions known ICASA has the higher optimization accuracy. It furthest ensures that city vertexes constitute convex polygon, so it can better construct shortest loop. Adaptive SA operation avoids CS falling into local solution. That helps CS move toward the good solution, which further reduces the search range. So ICASA is very efficient for solving small-size as well as large-size instances of TSP problem in a reasonable time. Figure 5,6 are the optimal path for solving the six TSP with ICASA method.

Figure 7 is the comparison of average computation time with the seven algorithms. From this figure it can be seen that GA needs long time to finish iteration and has a long convergence time. Compared

Table 3: Performance results for different algorithms

| Function | Algorithm | OV | PDs | SR | DE | AIT |
|----------|-----------|-------------|------|-------|-------|-----|
| bays29 | CS | $9.529e^3$ | 0.68 | 95.47 | -5.51 | 55 |
| | ICS | $9.488e^3$ | 0.56 | 96.13 | -4.18 | 45 |
| | DPSO | $9.475e^3$ | 0.48 | 98.88 | -3.47 | 25 |
| | ICASA | $8.652e^3$ | 0 | 100 | -0.21 | 20 |
| eil51 | CS | 452.213 | 0.72 | 94.36 | 4.18 | 213 |
| | ICS | 430.3457 | 0.48 | 95.37 | 3.64 | 129 |
| | DPSO | 425.9948 | 0.13 | 99.28 | 2.89 | 72 |
| | ICASA | 425.6417 | 0 | 100 | 2.32 | 68 |
| berlin52 | CS | $9.6417e^3$ | 0.66 | 95.57 | 1.54 | 151 |
| | ICS | $7.6492e^3$ | 0.64 | 96.87 | 1.35 | 94 |
| | DPSO | $4.5517e^3$ | 0.22 | 97.32 | 1.24 | 66 |
| | ICASA | $3.146e^3$ | 0 | 100 | 1.05 | 48 |
| gr96 | CS | 532.663 | 0.48 | 95.87 | 2.74 | 213 |
| | ICS | 530.641 | 0.32 | 97.66 | 2.64 | 129 |
| | DPSO | 521.647 | 0.14 | 98.64 | 1.54 | 72 |
| | ICASA | 512.674 | 0 | 100 | 1.05 | 68 |
| pr144 | CS | $6.847e^4$ | 0.47 | 96.77 | 1.58 | 368 |
| | ICS | $4.318e^4$ | 0.35 | 98.67 | 1.32 | 249 |
| | DPSO | $3.341e^4$ | 0.24 | 99.65 | 0.25 | 189 |
| | ICASA | $1.947e^4$ | 0 | 100 | 0.08 | 157 |
| gr202 | CS | 532.781 | 0.49 | 96.37 | 1.58 | 148 |
| | ICS | 512.322 | 0.41 | 97.98 | 0.96 | 134 |
| | DPSO | 507.621 | 0.23 | 99.66 | 0.84 | 71 |
| | ICASA | 496.327 | 0 | 100 | 0 | 70 |

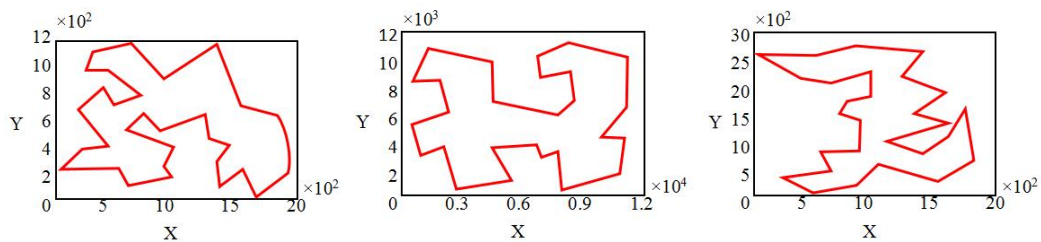


Figure 5: bays29, eil51 and berlin52

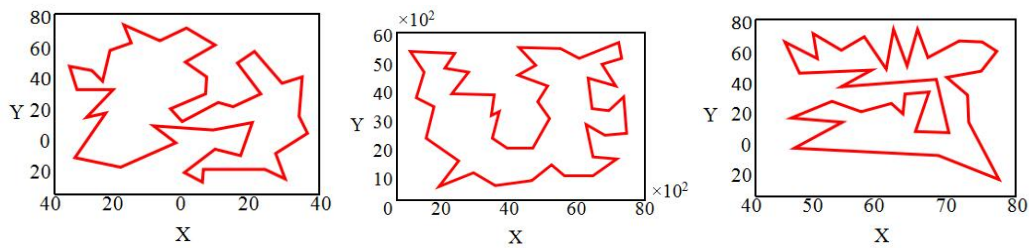


Figure 6: gr96, pr144 and gr202

to GA, PSO would run nearly 510 times when reaching to convergence time approximately 40ms. However, computation time of BKCS will be 30ms less than ACO and exceed that of DMBO (about 10ms). Finally, ICASA consumes closely 4ms to get convergence with only 20 times. Therefore, new method ICASA obtains the best performance in computing TSP time.

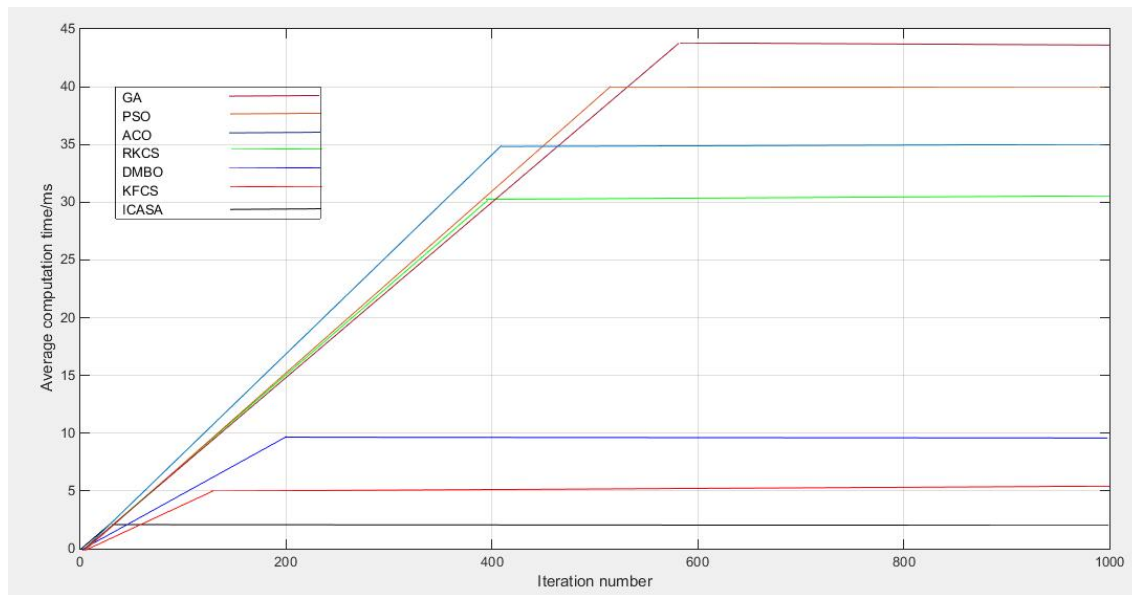


Figure 7: Comparison of computation time

Figure 8 shows evidently that the curve associated with ICASA is better in terms of solutions quality compared to KFCS(the latest method).

7 Conclusion

In this paper, an improved cuckoo search algorithm based on adaptive SA is proposed to optimize the traditional CS with disadvantage of slow convergence speed and inaccuracy results. To verify the new method's performance, twelve testing functions in TSPLIB are conducted. Experiments show that ICASA has the best optimization effect on function. In addition, new method is applied into solving

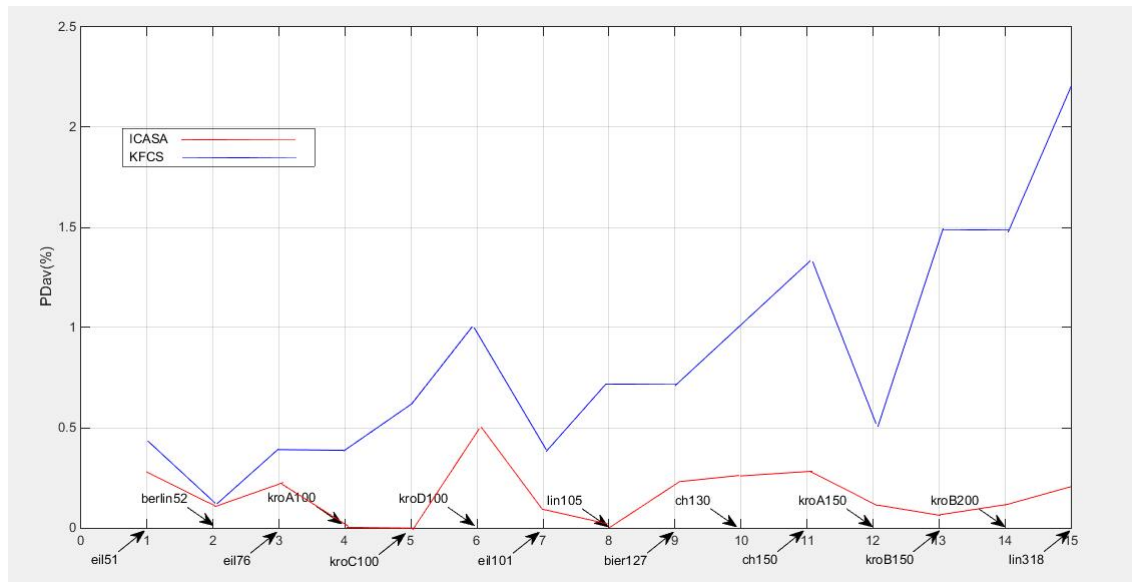


Figure 8: PDav(%) (over 30 running) for 15 instances from TSPLIB

TSP. By introducing path crossover elimination mechanism and testing standard TSP problems, new method has the better performance than other algorithms. Finally, it may also be interesting to hybrid the ICASA with other heuristics or meta-heuristics and to analyze the performance of each algorithm in solving TSP problem. In the future, more intelligence artificial algorithm will be proposed. We also study other advanced methods to apply them into actual applications.

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Flower Classification and Its Physiological and Psychological Relaxing Effects of Viewing Flowers for Sportive

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Abstract

Flowers have played a key role all throughout human history. Some types of flowers were used as food and continue to be used as food until the present day; others have healing properties and are used as medicine. For Psychological sportive also used flower for relaxation by creating positive energy for sportive. Early man also recognized the aesthetic function of flowers; in fact, some ancient cultures believed that they could appease the spirits of their ancestors by decorating their tombs with a particular type of flower. The most important function that flowers have, however, is their power to convey deep human emotions especially for sportive. the physiological relaxing effect brought by nature is becoming clear; however, many workers find it difficult to be exposed to nature in their working environment. So, we work to classify flower dataset. the problem concerns the identification of IRIS plant species on the basis of plant attribute measurements. Classification of IRIS data set would be discovering patterns from examining petal and sepal size of the IRIS plant and how the prediction was made from analyzing the pattern to form the class of IRIS plant and comparing between accuracy results and ratio of errors. By using this pattern and classification to simplify the problem of classification naive Bayes, decision tree, support vector machine and PSO are being introduced. This paper focuses on IRIS plant classification using Nave Bayes, decision tree and SVM (kernel=radial, polynomial, sigmoid) and compare between accuracy and error ratio of this algorithms.

Keywords: Decision Tree; Flower Classification; Naive Bayes; PSO; Psychological Relaxing; SVM

1 Introduction

In modern society, many sportive spend the majority of their time in intensely stressful states and have no time for contact with nature outside of their immediate surroundings. Ever increasing development and job pressures have resulted in an overly stressed society far removed from possible calming effects of nature.

Previous studies have evaluated the psychological stress for sportive [5], and they have shown that stressors for players not only cause psychological symptoms but also increase risk of heart disease [4] and conditions such as sleeplessness [8].

In our stressful modern societies, the relaxing effect of natural stimuli is considered advantageous compared with other stimuli. Many people are thus attracted to the physiological and psychological

relaxing effect of exposure to nature [7, 9–11, 13, 14]. The flower that holds the most obvious meaning is the rose, the eternal symbol of romantic love and desire. The most important function that flowers have, however, is their power to convey profound human emotions and thoughts the way no other object can. Whenever your feelings are too intense for words - be it love, grief, happiness, or gratitude - try expressing them through the gift of flowers. You will find that, it will express your feelings perfectly. Besides conveying messages, flowers can also affect our moods and influence our emotions. the effect of flowers on sportive was conducted as follows.

- 1) Flowers have an immediate impact on happiness. Research participants expressed "true" or "excited" smiles upon receiving flowers, demonstrating extraordinary delight and gratitude.
- 2) Flowers have a lasting positive effect on moods. Those who participated in the study reported feeling less depressed, anxious or agitated after receiving flowers. Additionally, they exhibited a greater sense of enjoyment and life satisfaction.
- 3) Flowers tended to make intimate connections between people. The presence of flowers in the surroundings of individuals led to increased contact with family and friends.
- 4) Flowers evoke feelings of compassion. Study participants who had fresh-cut flowers in their home environment felt an increase in feelings of compassion and kindness for others. This true even when the lowers were in the home for a relatively short time.
- 5) Flowers relieve "the blues". Simply put, study participants felt less negative after being around lowers at home. Flowers placed in rooms frequented in the morning after arising can help to dissipate the "morning blahs", they concluded.
- 6) Flowers give people a physical and psychological "lift". Increases in energy, happiness and enthusiasm at work were reported by those who had flowers in their home environment.

Perhaps the positive effect flowers have on sportive because Flowers create happiness, it Boost creativity, and it reduce stress and anxiety so we work to make classification on IRIS flower dataset.

Classification consists of assigning a class label to a set of unclassified cases there are two types of classification are supervised which is The set of possible classes is known in advance and unsupervised which is Set of possible classes is not known. After classification we can try to assign a name to that class. Unsupervised classification is called clustering. Machine learning is the science of getting computers to act without being explicitly programmed. Machine learning is so pervasive today that you probably use it dozens of times a day without knowing it. Neural network simulations appear to be a recent development. However, this field was established before the advent of computers, and has survived at least one major setback and several eras. Deep learning (also known as deep structured learning or hierarchical learning) is part of a broader family of machine learning methods based on learning data representations, as opposed to task-specific algorithms. Learning can be supervised, semi-supervised or unsupervised [2, 3].

2 Iris Flower Dataset

The iris flower dataset consist of 50 samples from each of three species of Iris (Iris setosa, Iris virginica and Iris versicolor) (See Figure 1). Four features were measured from each sample: The length and the width of the sepals and petals, in centimeters. We try to classify flowers by using Naive Bayes, decision tree and SVM (Kernel = Radial, Polynomial, Sigmoid) to obtain more exact results and compare between this algorithms.

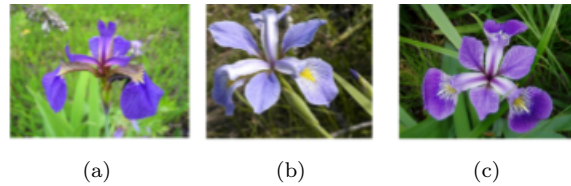


Figure 1: Types of flowers: (a) Iris setosa; (b) Iris virginica; (c) Iris versicolor

2.1 Nave Bayes Classifier

In machine learning, naive Bayes classifiers are a family of simple probabilistic classifiers based on applying Bayes' theorem with strong (naive) independence assumptions between the features.

For each target value of $c_i (c_i = c_1, \dots, c_l)$ $P(c_i) \leftarrow$ estimate $P(c_i)$ with examples in S.

For every feature X_{ik} of each feature $X_j (j = 1, \dots, F; k = 1, \dots, N_j) P(X_j = X_{ik} | C_i) \leftarrow$ estimate $P(X_{jk} | C_i)$ with examples in S.

In test data set $[P(a_1 | C) \dots P(a_n | C)] P(c) > [P(a_1 | c_i) \dots P(a_n | c_i)] p(c_i)$, $c_i = c$, $c_i = c_1 \dots c_l$.

2.2 Decision Tree Classification

Decision tree builds classification or regression models in the form of a tree structure. It breaks down a dataset into smaller and smaller subsets while at the same time an associated decision tree is incrementally developed the final result is a tree with decision nodes and leaf nodes.

A decision tree is built top-down from a root node and involves partitioning the data into subsets that contain instances with similar values (homogenous). ID3 algorithm uses entropy to calculate the homogeneity of a sample. If the sample is completely homogeneous the entropy is zero and if the sample is an equally divided it has entropy of one (See Figure 2).

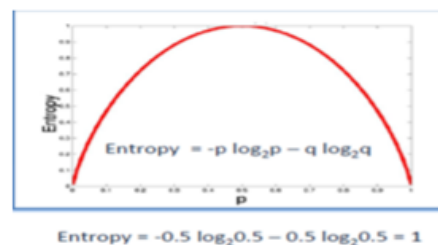


Figure 2: Entropy of decision tree classification

2.3 Support Vector Machine

A support vector machine (SVM) constructs a hyper plane or set of hyper planes in a high dimensional space, which can be used for classification, regression, or other tasks like outliers detection intuitively, a good separation is achieved by the hyper plane that has the largest distance to the nearest training

data point of any class (so-called functional margin), since in general the larger the margin the lower the generalization error of the classifier [1, 6, 12].

3 Results of Iris Flower Dataset

Naive Bayes Classifier:

Table 1 shows the result of naive Bayes algorithm by using Weka tool. In naive, we compute number of attributes and class label to classify points of flower as flower type (v iris color, vergencia, setosa) then compute accuracy to know error ratio of this algorithm by using confusion matrix in Table 2.

The way to compute the rate of error from confusion matrix is \rightarrow Assume classification = Absolute Error = $1 - \text{sum}(\text{diagonal})/\text{sum}(\text{table}) \leftarrow 1 - (50 + 48 + 46) / (50 + 48 + 46 + 2 + 4) = 1 - 0.96 = 0.04$ (See Table 3).

Table 1: Naive Bayes classifier

| Attribute | Class | | |
|--------------------|-----------------------|---------------------------|--------------------------|
| | Iris-Setosa (0.33) | Iris-Versicolor (0.33) | Iris-Virginica (0.33) |
| Sepallength | | | |
| mean | 4.9913 | 5.9379 | 5.5795 |
| std. dev. | 0.3550 | 0.50420 | 0.6353 |
| weight sum | 50 | 50 | 50 |
| precision | 0.1059 | 0.1059 | 0.1059 |
| Sepalwidth | | | |
| mean | 3.4015 | 2.7687 | 2.9629 |
| std. dev. | 0.3925 | 0.3038 | 0.3088 |
| weight sum | 50 | 50 | 50 |
| precision | 0.1091 | 0.1091 | 0.1091 |
| petallength | | | |
| mean | 1.4694 | 4.2452 | 5.5516 |
| std. dev. | 0.1782 | 0.4712 | 0.5529 |
| weight sum | 50 | 50 | 50 |
| precision | 0.1405 | 0.1405 | 0.1405 |
| petalwidth | | | |
| mean | 0.2743 | 1.3094 | 2.0343 |
| std. dev. | 0.1096 | 0.1915 | 0.2646 |
| weight sum | 50 | 50 | 50 |
| precision | 0.1143 | 0.1143 | 0.1143 |

Table 2: Detailed accuracy by class

| | TP Rate | FP Rate | Precision | Recall | F-Measure | MCC | ROC Area | PRC Area | Class |
|------------|---------|---------|-----------|--------|-----------|-------|----------|----------|------------|
| | 1 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | Setosa |
| | 0.96 | 0.4 | 0.923 | 0.96 | 0.941 | 0.911 | 0.992 | 0.983 | versicolor |
| | 0.92 | 0.02 | 0.958 | 0.92 | 0.939 | 0.91 | 0.992 | 0.986 | virginica |
| weight Avg | 0.96 | 0.02 | 0.96 | 0.96 | 0.96 | 0.94 | 0.994 | 0.989 | |

Decision Tree:

In decision tree make classification by using entropy and information gain then split max information gain as decision node and repeated until built tree consist of leaf and decision node. Figure 3 shows the result of decision tree which describe dataset that contain 5 (sepal length, sepal width,

Table 3: Naive Bayes confusion matrix

| Predicted | Actual | | |
|------------|--------|------------|-----------|
| | Setosa | Versicolor | Virginica |
| Setosa | 50 | 0 | 0 |
| versicolor | 0 | 48 | 2 |
| virginica | 0 | 4 | 46 |

petal width, petal length) attribute and 150 instance then split petal width that least than 0.6 as iris versicolor and so on to produce rules of tree then compute accuracy by using confusion matrix.

Rules of Decision Tree:

- R1:** If (Petal Width) ≤ 0.6 THEN species = iris - setosa;
R2: If (Petal Width) > 0.6 And (Petal Width) ≤ 1.7 And (Petal Length) ≤ 4.9 THEN species = iris - versicolor;
R3: If (Petal Width) > 0.6 And (Petal Width) ≤ 1.7 And (Petal Length) > 4.9 And (Petal Width) ≤ 1.5 THEN species = iris - virginica[
R4: If (Petal Width) > 0.6 And (Petal Width) ≤ 1.7 And (Petal Length) > 4.9 And (Petal Width) > 1.5 THEN species = iris - versicolor;
R5: If (Petal Width) > 0.6 And (Petal Width) > 1.7 THEN species = iris - virginica.

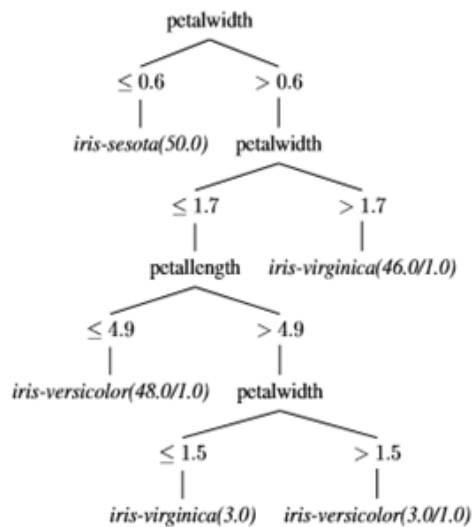


Figure 3: Rules of decision tree

Support Vector Machine:

By using R Studio draw points of dataset then colored each class with different color as shown in Figure 4 then use 3 kernel type of SVM to make classify and compute accuracy.

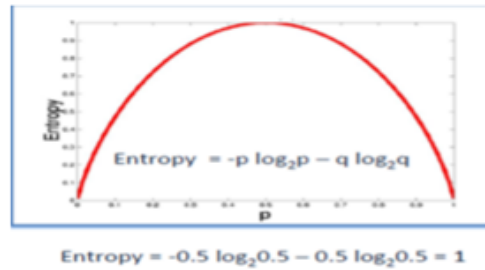


Figure 4: Plotting of Iris dataset

SVM (Kernel = Radial):

This is confusion matrix of support vector machine radial kernel algorithm on accuracy = 0.266 (See Figure 5).

| predicted \ Actual | | | |
|--------------------|--------|------------|-----------|
| | setosa | versicolor | virginica |
| setosa | 50 | 0 | 0 |
| versicolor | 0 | 48 | 2 |
| virginica | 0 | 2 | 48 |

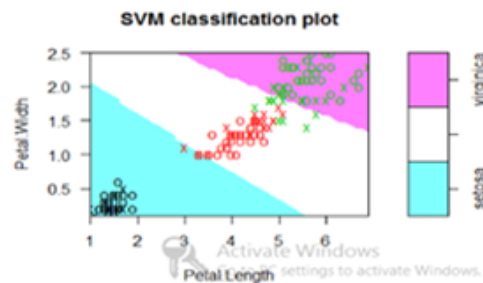


Figure 5: Confusion matrix of support vector machine radial kernel algorithm

SVM (Kernel = Polynomial):

This is confusion matrix of support vector machine polynomial kernel algorithm on accuracy = 0.466 (See Figure 6).

SVM (Sigmoid Kernel):

This is confusion matrix of support vector machine polynomial kernel algorithm on accuracy = 0.1 (See Figure 7).

The best classification is (See Table 4:

$$SVM(Radial\ kernel) \rightarrow \text{Nave Bayes} \rightarrow \text{decision tree} \rightarrow SVM(polynomial) \rightarrow SVM(Sigmoid). \quad (1)$$

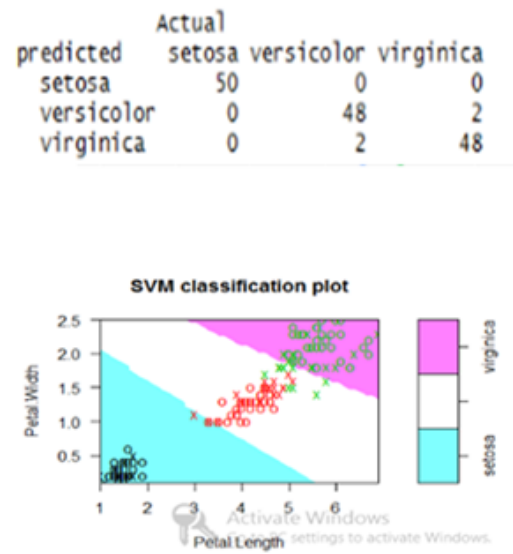


Figure 6: Confusion matrix of support vector machine polynomial kernel algorithm on accuracy = 0.466

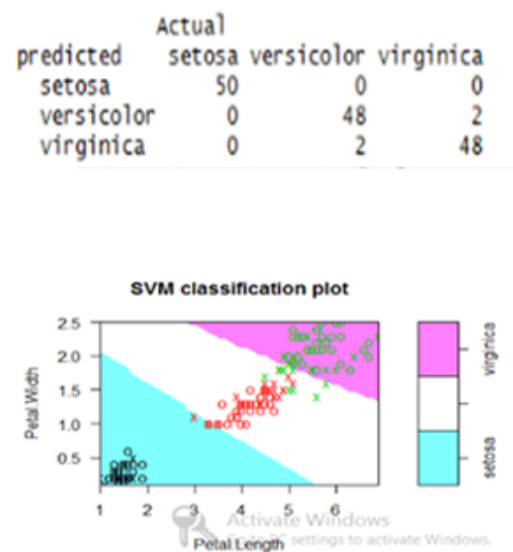


Figure 7: Confusion matrix of support vector machine polynomial kernel algorithm on accuracy = 0.1

Table 4: Classification results

| Algorithm | Absolute Error |
|-----------------|--|
| Naive Bayes | 0.0342 2 |
| Decision Tree | 0.035 3 |
| SVM(radial) | 0.02666 1 |
| SVM(polynomial) | 0.0466 4 |
| SVM(sigmoid) | 0.1 5 |

4 Particle Swarm Optimization

Particle Swarm Optimization (PSO) is a computational algorithm which trying to solve problem by improving solution by many regard given solutions. The algorithm solves the problem by having populations and divided it into particles and moving them to search space and performing many mathematical equations over the position. Velocity every particle of swarm movement is effect by 2 things (See Figure 8):

- 1) The local best position;
- 2) The best velocity and in every iteration the position and velocity are updated by the other particles. In the final, we reach the best solutions of the swarm.

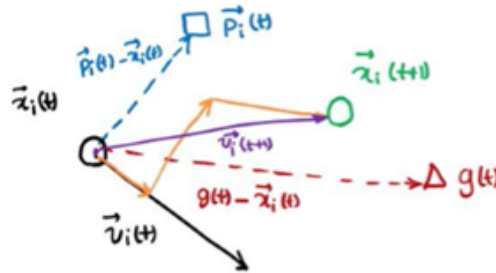


Figure 8: PSO procedures

The PSO Mechanism:

- 1) Algorithm:

$$\begin{aligned}
 V_{ij}(t+1) &= WV_{ij}(t) + r_1c_1(P_{ih}(t) - X_{ij}(t)) + r_2c_2(g_j(t) - X_{ij}(t)) \\
 X_{ij}(t+1) &= X_{ij}(t) + V_{ij}(t+1).
 \end{aligned}$$

- 2) Results (See Figure 9):

```
Trial >> Global best
Global best = struct with field :
positions : [-0.7206, 5.0267, 2.2930, 1.2321, 5.8240]
Cost : 66.4824
Trial >> Global best.position
ans : -0.7206, 5.0267, 2.2930, 1.2321, 5.8240
```

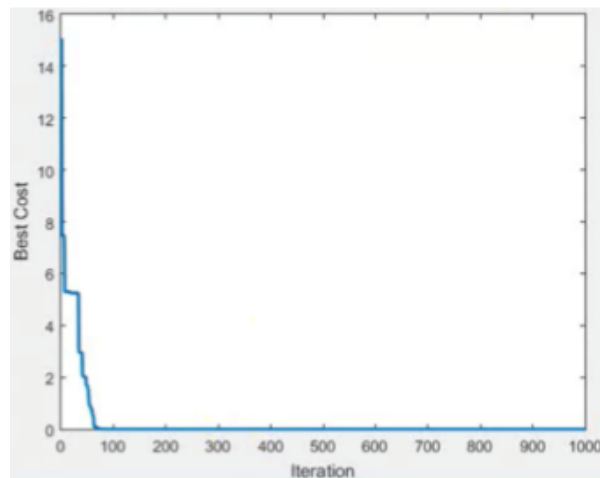


Figure 9: Results of PSO

3) Tools: The software that is used: RStudio, Weka, and Matlab.

5 Conclusion

This paper focuses on IRIS plant classification using Nave Bayes, decision tree and SVM (Kernel = Radial, Polynomial, Sigmoid) and compare between accuracy and error ratio of this algorithms. The problem concerns the identi?cation of IRIS plant species on the basis of plant attribute measurements. Classification of IRIS data set would be discovering patterns from examining petal and sepal size of the IRIS plant and how the prediction was made from analyzing the pattern to form the class of IRIS plant. By using this pattern and classification. then we made PSO algorithm and present Results.

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Threat Minimization by Design and Deployment of Secured Networking Model

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Abstract

Security is an important issue for organizational network design and development. With an increasing technology in cloud computing sector, enterprise Sector and specific organizational network Infrastructure development, network security always has remained as a great challenge. Our considerable Organization like different scientific/institutional institution faces core security issues challenges in network architecture design and development. A Secured infrastructure of a network always considers or concerns about different security attacks. Network security will prevent a organization network infrastructure from different types of attacks and threats. This paper intends to give an idea to design and deployment of a simple but better network security model and cost effective approach using routers and firewall. This research aim is also that how a network will be protected against vulnerabilities, configuration and security policy weaknesses. Our proposed network infrastructure is adaptable with secure structure.

Keywords: Firewall; Threats and Attacks; VLAN; VPN

1 Introduction

Increasing and overgrowing of networking volumes and internet, the network and information related threats has been risen significantly. These threats are exercised attacks causing damage of a network infrastructure and committing different types of criminal network or cyber activities. Now a days Internet and networking plays a important role in personal, enterprise, organization and different government application. So using network based application and services, Network Security is a major concern [1]. When we concerns about network security terms it includes authorization, identification, authentication and surveillance to the protection of computer hardware or network physical equipment and all other virtual and sophisticated things related with network infrastructure. Threats may arise from mis-configuration of hardware or software equipment, poor network design and deployment, technology weaknesses, or carelessness of end-user [3]. There is no specific laid-down procedure for secure network design and deployment. So Network security must be considered for designing and fit the needs of an organization especially for scientific/institutional organization.

An important secured network deployment and design consideration for today's networks is creating the potential to enhancement for future expansion in a scalable, reliable, and secure way [4]. This

paper focuses on the simple but hierarchical network design in which the proposed system will be scalable; better performance and security will be ensured and easy to maintain [7]. It also focuses on review of different types of attacks on routers, switch and its prevention and mitigation procedure. As Routers and firewall are crucial parts of network operations and network security, careful management of router and firewall operations and by avoiding of redundant installation of software and hardware equipments can reduce network downtime, prevent attacks, and proceed in helping in the analysis of security breaches [8].

2 Literature Review: Network Security, Attacks, Weakness and Threats

As the networks of today are more open and with the increased number of LAN, WLAN and personal computers, Wi-Fi and the Internet are beyond a huge numbers of security risks. Network security a important component in information security because it is responsible for securing all information passed through networked computers [2].

For the protection for hardware, software, and information within a network with a acceptable level administrative and management policy, access controls, hardware and software specific functions, features and operational procedures are required. Network security ensures three fundamental aspects: integrity, confidentiality, availability of information from top to bottom.

Real-world security includes prevention, detection, and response. As no prevention mechanism is perfect. Detection and response are more effective than prevention. We need to address protection different OSI layer protection for a secured networking.

Multilayer firewall is need for different level network security in network OSI layer protection [10]. When we considering or imagine a total secured network architecture then we need to consider the overall security in every layer of a network architecture. The protection in every layer provides a secured system where the end user are satisfied and secured within the network. So before designing a network we must be introduced with every layered function for ensuring a robust security firewall against total architecture (See Table 1).

Table 1: Multilayer activities

| OSI Layer | Areas |
|----------------------|---|
| Application Layer | - OS and Application level threats - Application-level gateway |
| Presentation | encryption |
| Session | Socks Proxy server |
| Transport | - Packet filtering -TCP/UDP Flooding. |
| Network | -NAT/PAT -IP Alternation and DHCP attacks |
| Data link & Physical | MAC Address alternation, physical port, Traffic Flow changing <i>etc.</i> |

Firewall security provides centralization, Identify weak points in security system so it can be strengthened, identify intruders so they can be apprehended, provide for authentication, and contribute to a VPN. In Transport layer packet filtering firewalls scan network data packets looking for compliance

with, or violation of, rules of firewall's database. Restrictions most commonly implemented in packet filtering firewalls are based on IP source and destination address, Direction (bound and unbound) and TCP or UDP source and destination port [6] network-level proxy; convert IP addresses of internal hosts to IP address assigned by firewall. NAT uses pool of valid external IP addresses, assigning one of these actual addresses to each internal computer requesting an outside connection. Application gateway Frequently installed on a dedicated computer and known as application-level firewall, proxy server, or application firewall. It also can control applications inside a network that access the outside world by setting up proxy services. This security techniques covers: 1) IP address mapping; 2) URL filtering; 3) Content filtering.

Security Weakness and Threats Issues in Network:

In network security every network and device consists of its weak points which are inherently described as its weakness. The weakness can be categorized into 3 ways:

- 1) Technological Weaknesses: Protocol related weaknesses like TCP/IP protocol, network equipment and Operating System weaknesses.
- 2) Configuration Weaknesses: it concerns with correctly configuration of computing and network devices. Some configuration weakness is: unsecured user accounts which deals with transmission of insecure user account information over network. System accounts with easily guessed passwords. Misconfigured internet devices such as turn on JavaScript on web browser, Misconfigured network equipment can cause a large security hole.
- 3) Security Policy Weaknesses: It can create unforeseen security threats. It may consists of points like lack of written security policy, logic access control not applied, software and hardware installation and changes which do not follow the proper policy and lack of disaster recovery plan existence [9].

Network Security:

It faces potential threats generally. The threats may include: 1) Passive attack; 2) Active attack; 3) Distributed attack; 4) Insider attack; 5) Close in attack; 6) Phishing attack; 7) Hijack attack; 8) Spoofing attack; 9) Buffer overflow; 10) Exploit attack; 11) Password attack; 12) Session hijacking attacks; 13) TCP SYN attack; 14) Smurf attacks; 15) Routing attacks; and 16) Masquerade attacks, *etc.* Explanations of some attacks are given here:

- 1) Denial of Services(DoS): DoS often attacks the specific target by traffic flooding. An attacker tries to prevent actual users from accessing information or services. Flood attacks occur by receiving too much traffic and it cause the server to buffer, causing them to slow down and stop. In this attack, the specified server does not know from which actual port the request are sending. Attacker overloads the server with requests. Buffer overflow attacks, ICMP flood, SYN flood are popular DoS attack. Again DDoS(Distributed Denial of Services) attack occurs when multiple systems target a synchronized DoS attack to a single target. Figure 1 shows a general server connected with specific address with different IP address.

Figure 2 shows after DoS attack server for IP flooding.

- 2) ARP Spoofing Attacks (See Figure 3): This kind of attack occurs over a Local Area Network (LAN). Generally ARP Protocol translates IP addresses into MAC addresses. This attack occurs when malicious ARP packets are sent to a default gateway on a LAN to change the pairings in its IP to MAC address table. ARP Poisoning attacks are easy to carry out as the attacker has the control of a machine within the target LAN or is directly connected to it [5].

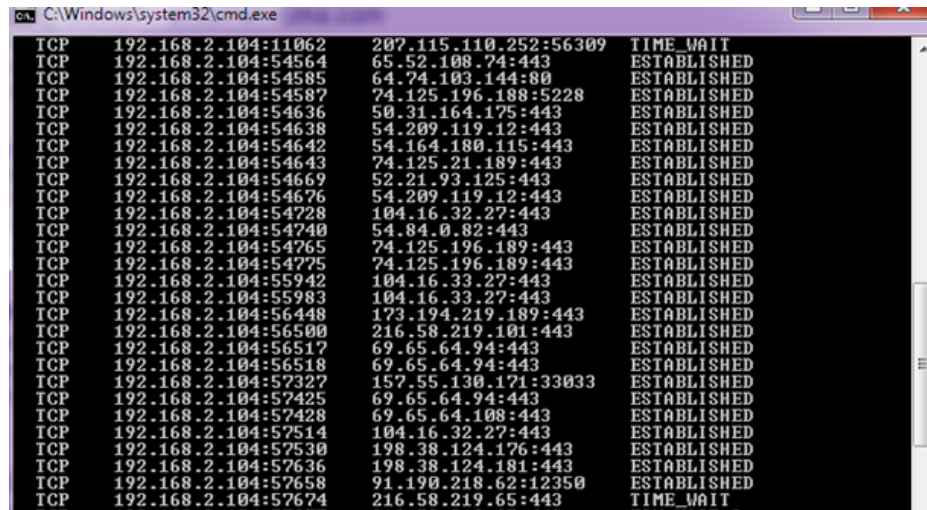


Figure 1: A general server connected with specific address with different IP address

| File | Edit | Format | View | Help |
|------|------------------|--------------------|-----------|------|
| TCP | 192.168.2.104:00 | 216.35.50.65:60973 | TIME_WAIT | |
| TCP | 192.168.2.104:00 | 216.35.50.65:60974 | TIME_WAIT | |
| TCP | 192.168.2.104:00 | 216.35.50.65:60975 | TIME_WAIT | |
| TCP | 192.168.2.104:00 | 216.35.50.65:60976 | TIME_WAIT | |
| TCP | 192.168.2.104:00 | 216.35.50.65:60977 | TIME_WAIT | |
| TCP | 192.168.2.104:00 | 216.35.50.65:60978 | TIME_WAIT | |
| TCP | 192.168.2.104:00 | 216.35.50.65:60979 | TIME_WAIT | |

Figure 2: After attack of DoS for IP flooding

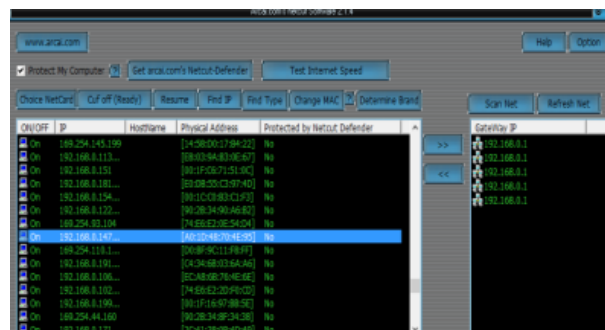


Figure 3: ARP spoofing

- 3) Session Hijacking Attacks: In this kind of attacks, attacker insert falsified IP packets after session establishment, sequence number alternation and prediction.
- 4) Man-in-the-Middle Attacks (MITM): This kind of attacks rely on ARP spoofing for intercepting and modify traffic.

3 Building a Secure Network by Decreasing Familiar Attacks

To design a Secure Network we need to define the security function against a network intrusion by decreasing the familiar attacks. When we consider secure but cost effective way for designing and deployment a network infrastructure we must have to analyses the feasibility both. To design a cost effective secured organizational network system here we proposed the steps generally: 1) Implementation of both Hardware and software firewall. 2) Establishment of Virtual Private Network (VPN) for one or more network connection. 3) Implementation of MAC-Bindings with IP addresses registration on server side for network broadcasting. 4) For Wi-Fi Router establishment within same server use the Authentication server for end user identification and tracking. 5) Synchronizing, Observing and tracing of internal gateway router and core router and core switch. 6) VLANs (Virtual LAN) creation.

- 1) Implementation of Both Hardware and Software Firewall: Firewall concept is adapted to centralize access control. It works as the gatekeeper between the untrusted Internet and the more trusted internal networks. A firewall may be software and hardware firewall. Firewalls provide several types of protection:
 - a. It blocks unwanted traffic.
 - b. It can direct incoming traffic to more trustworthy internal systems.
 - c. It hides vulnerable systems which aren't easily be secured from the Internet.
 - d. It can log traffic to and from the private network.
 - e. It can hide information like system names, network device types, network topology and internal user ID's from the Internet.

A hardware firewall is in Figure 4.

- 2) Establishment of Virtual Private Network (VPN) for One Or More Network Connection (See Figure 5): A Virtual Private Network (VPN) extends a private network across a public network, such as the Internet. By establishing a virtual point-to-point connection through the use of virtual tunnelling protocols, dedicated connections, or traffic encryption a VPN is created. For encrypting and secure user different VPN protocol are used such as IPsec, TLS (Transport layer Security), SSL (Secure Socket Layer), Open VPN or Point-to-Point Tunnelling Protocol, *etc.* Common uses of the organization VPN include access to file sharing/shared drives.
- 3) Implementation of MAC-Bindings with IP Addresses Registration on Server Side for Network Broadcasting (See Figure 6): Binding IP addresses to MAC addresses could avoid IP address changing with reconnection. Once specified device's MAC address and IP address are bound, the IP address will be reserved for the device and the device is easy to trace if any occurrence is happened. It is easy for the Administrator to manage critical devices and a great method to manage the LAN clients.
- 4) For Wi-Fi Router Establishment within Same Server Use the Authentication Server for End User Identification and Tracking: If we want to keep end user track for Wi-Fi connection where Wi-Fi

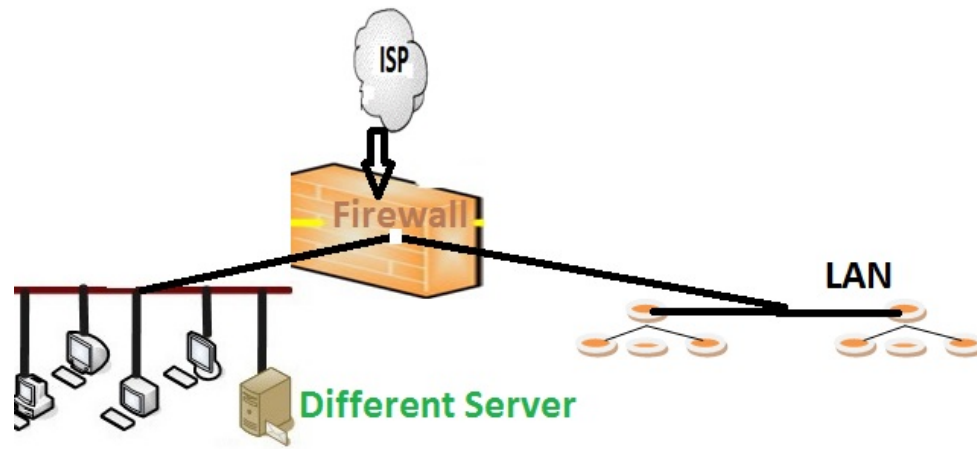


Figure 4: A simple firewall implementation

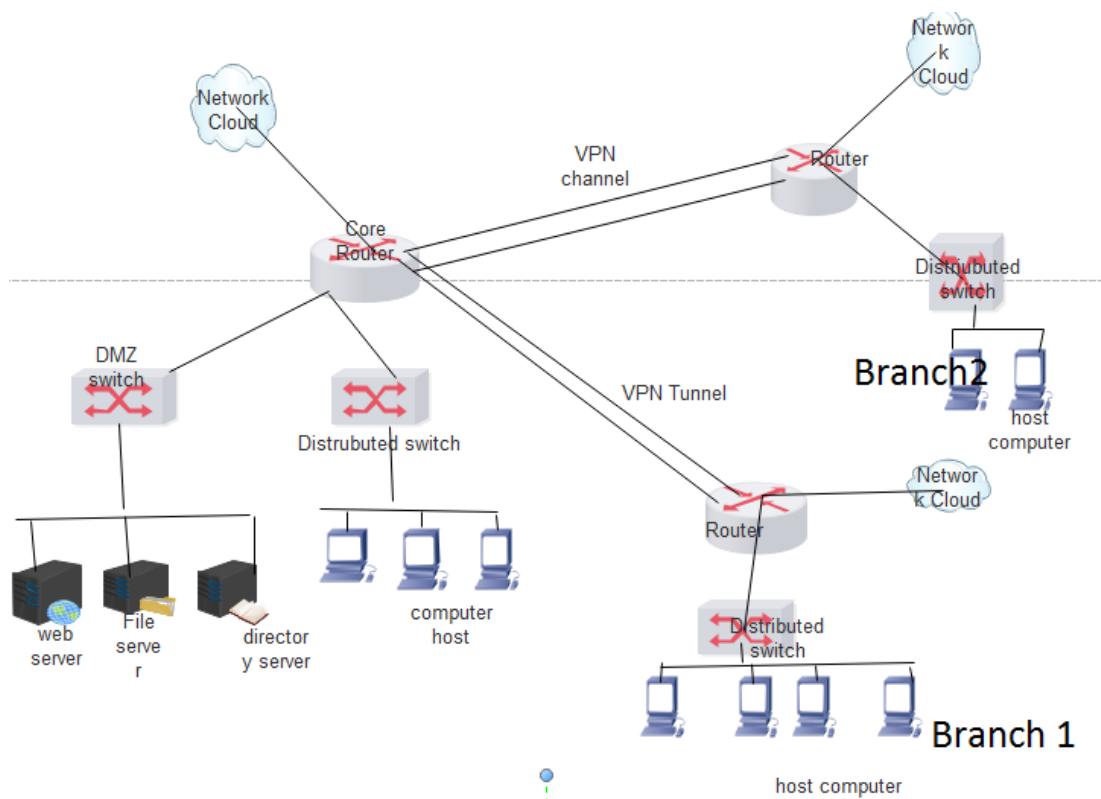


Figure 5: VPN connection for organizational branch

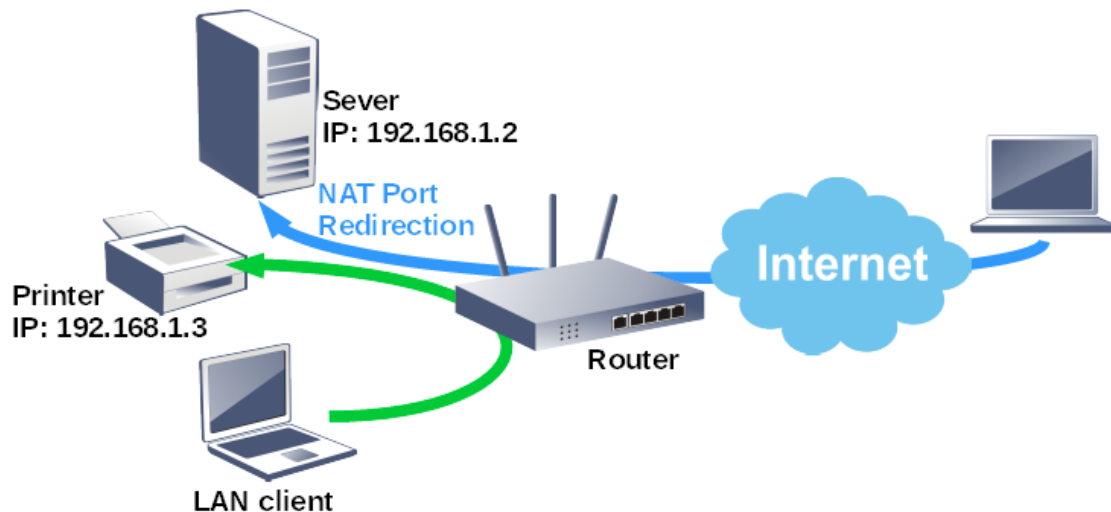


Figure 6: MAC Bindings with IP for secure networking

router and WLAN are configured in same proxy server then we must use an authentication server for user identification and tracking. Radius Server and AAA server are used for authentication, authorization, accountability and user logs filtering. Scalability, security and flexibility are ensured by using those server mechanisms. When the terms wireless network comes into front the Strong authentication, Strong data encryption, Protects broadcast and multicast traffic, User authentication, Secures access to the WLAN instead of just to the packets and Additional network devices required are most considerable things in wireless establishment.

- 5) Synchronizing, Observing and Tracing of Internal Gateway Router and Core Router and Core Switch. As we know that every internal private network of organization is created from a Real IP that is connected to the real world network like internet. In this sense a core router and switch is designed for creating network. For a secure network design and deployment we need to synchronize, observe and trace of internal gateway router and core router for every user. The other objective we need to consider that monitoring this it is easy to avoid external and internal threat. Flexibility and scalability can be assured through it.
- 6) VLANs (Virtual LAN) Creation. It works in data link layer. VLAN partitions and isolate a computer network. In practical terms, multiple VLANs are pretty much the same as having multiple separate physical networks within a single organization - without managing multiple switches and cable plants. Because VLANs segment a network, creating multiple broadcast domains, they effectively allow traffic from the broadcast domains to remain isolated. We have suggested some VLANs for better security of campus network and reducing broadcast [11]. Table 2 shows an example of the proposed VLAN creation.

By examine all above the designed structure of the suggested model are as in Figure 7.

Table 2: An example of the proposed VLAN creation

| VLAN ID | VLAN Name |
|---------|-----------|
| 1 | A |
| 5 | D |
| 10 | L |
| 15 | C |
| 20 | F |
| 40 | I |

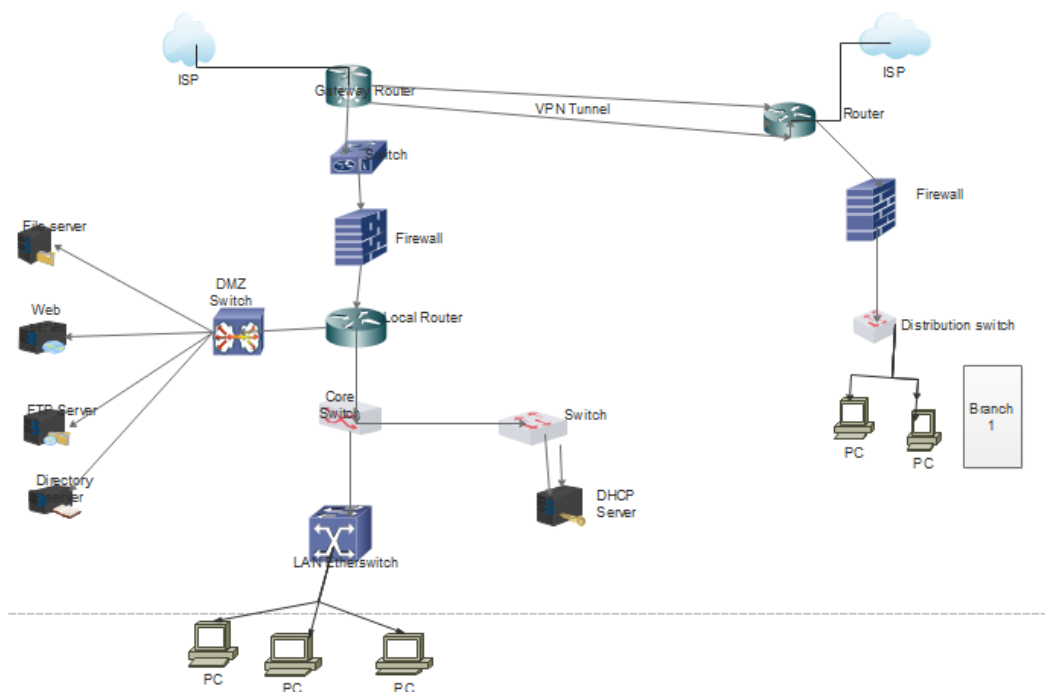


Figure 7: Structure of suggested secure network model

4 Conclusion

When we design the architecture and deployment of a network system, its security becomes an important issue and considerable matter for any organization. So by following the above network design and considering the above discussed security terms, one organization can design and deploy a scalable, better performer and secured network which is easy to maintain. In this discussion and work, we have proposed a cost effective secure network design based on the work environment and required security, scalability and other aspects. The terms we have introduced and considered in designing network architecture are helpful in mitigating the known attacks and reoccurrence attacks.

This paper presented the tips and recommendations to achieve a best security and to protect the network from threats, vulnerabilities and attacks by applying security configurations such on strong routing filtering using router and firewall which can assure a better network security.

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